

2020 Top Advisor Rankings by State



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2020 Top Advisor Rankings by State

Here are America's best financial advisors, organized by state. The rankings are based on data provided by around 4,000 of the nation's most productive advisors. Factors included in the rankings: assets under management, revenue produced for the firm, regulatory record, quality of practice and philanthropic work. Investment performance isn't an explicit component because not all advisors have audited results and because performance figures often are influenced more by clients' risk tolerance than by an advisor's investment-picking abilities. The rankings are meant as a starting point for clients looking for an advisor—a first-pass vetting that can help investors narrow a search. Every advisor will have his or her own approach to investing, financial planning and other services. Clients are encouraged to approach a search for an advisor the way they would a search for a doctor—interviewing multiple professionals and getting opinions from multiple third parties. If you're reading this in a Barron's app, please head to barrons.com in your browser for a better experience.

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2020 Top Advisor Rankings by State

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Rank 2020	Rank 2019	Name	Firm	City	State	Customers (<\$1mm)	(\$1mm-\$10n)
1	1	Tony Smith	UBS Financial Services	Birmingham	Alabama	•	•
2	3	Robert Runkle	Merrill Lynch Wealth Management	Montgomery	Alabama	•	•
3	2	Wes Clayton	HighTower Twickenham	Huntsville	Alabama	•	•
4	5	Camper O'Neal	Merrill Lynch Wealth Management	Birmingham	Alabama	•	•
5	4	Jeff Roberts	Ameriprise Financial	Birmingham	Alabama	•	•
6	6	Jerry Duncan	UBS Financial Services	Birmingham	Alabama	•	•
7	8	Michael Warr	Morgan Stanley PWM	Tuscaloosa	Alabama	•	•
8	9	Michael Mullis	Securities America	Vestavia Hills	Alabama	•	•
9	11	Brian Woodke	Merrill Lynch Wealth Management	Birmingham	Alabama	•	•
10	10	Steven Hudson	Ameriprise Financial	Birmingham	Alabama	•	•
11	N	William Hiden	Morgan Stanley Wealth Management	Birmingham	Alabama	•	•
12	12	Palmer Whiting	Merrill Lynch Wealth Management	Mobile	Alabama	•	•
1	2	Jeff Leonard	Raymond James	Anchorage	Alaska	•	•
2	N	Ryan Callaway	Ameriprise Financial	Anchorage	Alaska	•	•
3	5	Kenneth Jones	Merrill Lynch Wealth Management	Anchorage	Alaska	•	•
4	1	Thomas Konop	Morgan Stanley Wealth Management	Anchorage	Alaska	•	•
5	3	Peter Jurasz	Merrill Lynch Wealth Management	Juneau	Alaska	•	•
6	N	Kurt Martens	Raymond James	Anchorage	Alaska	•	•
1	4	Trevor Wilde	Wilde Wealth Management Group	Scottsdale	Arizona	•	•
2	1	Steven Schultz	UBS Financial Services	Phoenix	Arizona	•	•
3	3	Robert Bancroft	Morgan Stanley PWM	Scottsdale	Arizona	•	•
4	2	Scott Horn	TFO Phoenix	Phoenix	Arizona	•	•
5	5	Glenn Pahnke	RBC Wealth Management	Scottsdale	Arizona	•	•
6	6	David Stahle	Merrill Lynch Wealth Management	Gilbert	Arizona	•	•
7	11	Michael Butt	Morgan Stanley Wealth Management	Scottsdale	Arizona	•	•
8	8	Jeffry Korte	Merrill Lynch Wealth Management	Scottsdale	Arizona	•	•
9	9	Nick Karabas	Creative Planning - Scottsdale AZ	Scottsdale	Arizona	•	•
10	17	Brian Friedman	Merrill Lynch Wealth Management	Phoenix	Arizona	•	•
11	13	Michael Hudak	Merrill Private Wealth Management	Phoenix	Arizona	•	•

12	10	James Marten	Merrill Lynch Wealth Management	Phoenix	Arizona	•	•
13	22	Brian Crawford	Wells Fargo Advisors	Tucson	Arizona	•	•
14	12	Steve Rabin	Ameriprise Financial	Mesa	Arizona	•	•
15	15	Ruth Transue	Wells Fargo Advisors	Tucson	Arizona	•	•
16	N	Alan Gendler	Merrill Lynch Wealth Management	Scottsdale	Arizona	•	•
17	20	Stephen Harnden	Ameriprise Financial	Peoria	Arizona	•	•
18	19	Rick Wood	Merrill Lynch Wealth Management	Scottsdale	Arizona	•	•
19	21	Christopher Downs	Merrill Lynch Wealth Management	Gilbert	Arizona	•	•
20	14	Paul Groves	Morgan Stanley PWM	Scottsdale	Arizona	•	•
21	23	Jacob Duffy	Baird	Scottsdale	Arizona	•	•
22	18	Tony Bebbington	UBS Financial Services	Phoenix	Arizona	•	•
23	N	Renee Hanson	Ameriprise Financial	Phoenix	Arizona	•	•
24	N	Mark Feldman	MRA Associates	Phoenix	Arizona	•	•
25	26	Joe Di Vito	RBC Wealth Management	Phoenix	Arizona	•	•
26	25	Charles "Chip" Pisoni	RBC Wealth Management	Scottsdale	Arizona	•	•
27	24	Mark Stein	Galvin, Gaustad & Stein	Scottsdale	Arizona	•	•
28	N	Steve Strong	Merrill Lynch Wealth Management	Tucson	Arizona	•	•
29	N	Tim Cowdrey	RBC Wealth Management	Scottsdale	Arizona	•	•
30	28	Sean Waltz	Merrill Lynch Wealth Management	Phoenix	Arizona	•	•
1	1	Allen Homra	Edward Jones	Stuttgart	Arkansas	•	•
2	2	Joseph Small	Ameriprise Financial	Little Rock	Arkansas	•	•
3	6	John Engskov	Merrill Lynch Wealth Management	Little Rock	Arkansas	•	•
4	4	Douglas Jackson	Merrill Lynch Wealth Management	Little Rock	Arkansas	•	•
5	5	Roy Gutierrez	UBS Financial Services	Little Rock	Arkansas	•	•
6	3	Hardy Winburn	UBS Financial Services	Little Rock	Arkansas	•	•
1	1	Gregory Vaughan	Morgan Stanley PWM	Menlo Park	California	•	•
2	2	Andy Chase	Morgan Stanley PWM	Menlo Park	California	•	•
3	3	Mark T. Curtis	Morgan Stanley Graystone	Palo Alto	California	•	•
4	4	Karen McDonald	Morgan Stanley Wealth Management	Palo Alto	California	•	•
5	6	Mark Douglass	Morgan Stanley PWM	Menlo Park	California	•	•
6	5	Spuds Powell	Kayne Anderson Rudnick Investment Management	Los Angeles	California	•	•
7	N	Drew Zager	Morgan Stanley PWM	Los Angeles	California	•	•
8	9	Mark Schulten	TSG Wealth Management	Long Beach	California	•	•
9	14	Troy Griep	Morgan Stanley PWM	San Francisco	California	•	•
10	8	Jon Goldstein	First Republic Investment Management	Menlo Park	California	•	•
11	13	Alan Whitman	Morgan Stanley Wealth Management	Pasadena	California	•	•
12	16	Dorian	Morgan Stanley Wealth	Menlo Park	California	•	•

2020 Top 1200 U. S. Financial Advisors Ranked by State

12	10	McKelvy	Management	Menlo Park	California	•	•
13	25	John Krambeer	Camden Capital	El Segundo	California	•	•
14	10	Eric Gray	Merrill Private Wealth Management	Los Angeles	California		
15	N	Brandt Daniel	J.P. Morgan	Los Angeles	California		•
16	22	Dagny Maidman	First Republic Investment Management	San Francisco	California		•
17	17	Dale Yahnke	Dowling & Yahnke	San Diego	California		•
18	24	Gillian Yu	Morgan Stanley PWM	San Francisco	California		
19	20	Reza Zafari	Merrill Private Wealth Management	Los Angeles	California		
20	19	Drew Freides	UBS Financial Services	Los Angeles	California		
21	35	Francis X. Malone	Morgan Stanley PWM	Los Angeles	California		•
22	23	Dana Jackson	Morgan Stanley Graystone	Menlo Park	California		•
23	21	Elaine Meyers	J.P. Morgan Securities	San Francisco	California		•
24	29	Laila Pence	Pence Wealth Management	Newport Beach	California	•	•
25	N	Patrick Schaffer	J.P. Morgan	Los Angeles	California		
26	73	Jon Neuhaus	Morgan Stanley PWM	Los Angeles	California		•
27	59	Robert Inbody	Morgan Stanley Wealth Management	La Jolla	California	•	•
28	28	Shane Brisbin	Morgan Stanley PWM	San Francisco	California		•
29	31	Rich Petit	Morgan Stanley PWM	Menlo Park	California		•
30	46	Roger Stephens	UBS Financial Services	Los Angeles	California		
31	N	Brian Sharpes	Morgan Stanley Graystone	Walnut Creek	California		
32	55	Daniel Rothenberg	UBS Financial Services	Los Angeles	California		•
33	42	John Shadden	Morgan Stanley PWM	Long Beach	California		•
34	27	Jim Berliner	Westmount Asset Management	Los Angeles	California	•	•
35	38	Michael Kanigher	UBS Financial Services	Los Angeles	California		•
36	N	Matthew Babrick	First Republic Investment Management	Los Angeles	California	•	•
37	34	Thomas Myers	Bordeaux Wealth Advisors	Menlo Park	California		•
38	33	Craig Chiate	UBS Financial Services	Century City	California		
39	39	Randy Peterson	First Republic Investment Management	San Francisco	California	•	•
40	45	Cheryl L. Young	Morgan Stanley Wealth Management	Los Gatos	California	•	•
41	50	Vicken Ekmekjian	CIBC Private Wealth Management	San Francisco	California		•
42	N	Darren Edwards	Morgan Stanley PWM	Los Angeles	California		•
43	70	Keith Webster	First Republic Investment Management	Los Angeles	California		•
44	48	Chad Evans	Morgan Stanley Wealth Management	Newport Beach	California		
45	49	Karl Wolfslau	Morgan Stanley Wealth Management	Long Beach	California	•	•
46	57	Ash Chopra	Merrill Private Wealth Management	San Francisco	California		•

47	53	Tripp Taylor	Neuberger Berman	San Francisco	California		•
48	85	Gail Reid	Ameriprise Financial	Glendale	California	•	•
49	64	Inna Kelly	Morgan Stanley Wealth Management	San Francisco	California		•
50	N	L. Greg Fullmer	Morgan Stanley PWM	Los Angeles	California		•
51	41	Greg Onken	J.P. Morgan Securities	San Francisco	California		•
52	44	John McNamee	Merrill Private Wealth Management	Los Angeles	California		•
53	65	Jesse Bromberg	Morgan Stanley Wealth Management	San Francisco	California		•
54	47	Mike Abrams	Wells Fargo Advisors	Palo Alto	California		•
55	82	Tom Palecek	Summit Trail Advisors	San Francisco	California		•
56	87	Lisa Detanna	Raymond James	Beverly Hills	California	•	•
57	51	Emily Van Hoorickx	UBS Financial Services	San Jose	California	•	•
58	52	Debbie Jorgensen	Merrill Lynch Wealth Management	San Francisco	California		•
59	54	Alex Shahidi	Advanced Research Investment Solutions	Los Angeles	California		•
60	67	Deb Wetherby	Wetherby Asset Management	San Francisco	California		•
61	60	Keith Tanabe	Merrill Lynch Wealth Management	Los Angeles	California	•	•
62	56	David Bahnsen	Bahnsen Group	Newport Beach	California	•	•
63	N	James Demmert	Main Street Research	Sausalito	California		•
64	80	Sean Yu	Morgan Stanley PWM	Pasadena	California		•
65	N	Michael Breen	Merrill Private Wealth Management	San Francisco	California		•
66	102	Tracey Gluck	J.P. Morgan Securities	Los Angeles	California		•
67	N	Chris Wilkens	Baker Street Advisors	San Francisco	California		•
68	72	Lisa Reed	Neuberger Berman	Los Angeles	California		•
69	58	Philippe Hartl	Merrill Private Wealth Management	Century City	California		•
70	69	Mike Burbank	Morgan Stanley PWM	San Francisco	California		•
71	86	Michael Genovese	Genovese Burford & Brothers	Sacramento	California	•	•
72	N	Greg Schick	Aspiriant	San Francisco	California		•
73	75	Adam S. Goldstein	Ameriprise Financial	Calabasas	California	•	•
74	84	Roger Wacker	UBS Financial Services	Los Angeles	California		•
75	100	Harlan Spinner	UBS Financial Services	Los Angeles	California		•
76	120	James Greenfield	Morgan Stanley PWM	San Francisco	California		•
77	61	George Papadoyannis	Ameriprise Financial	San Mateo	California	•	•
78	71	James Klein	Morgan Stanley PWM	Los Angeles	California		•
79	74	Renee Fourcade	UBS Financial Services	Los Angeles	California	•	•
80	N	Seth Haye	Morgan Stanley Wealth Management	Westlake Village	California	•	•
81	88	James McCabe	Wells Fargo Advisors	Beverly Hills	California	•	•
82	79	Brian Firing	Wells Fargo Advisors	Beverly Hills	California		•
83	76	Sam Schoner	First Republic Investment Management	San Francisco	California		•
84	77	Grant Lee	Morgan Stanley PWM	San Francisco	California		•

85	78	Chris Clifford	UBS Financial Services	San Francisco	California		
86	N	Carolyn Taylor	Weatherly Asset Management	Del Mar	California	•	•
87	N	Ned Gibbons	Morgan Stanley PWM	Menlo Park	California		•
88	N	Gerard Zell	Morgan Stanley PWM	Menlo Park	California		•
89	118	Michael Allard	CalBay Investments	Danville	California	•	•
90	90	Michael van den Akker	Baker Street Advisors	San Francisco	California		•
91	95	Brett Berry	First Republic Investment Management	Menlo Park	California		•
92	89	Michael Baumer	Morgan Stanley PWM	San Francisco	California		•
93	92	Gary Fournier	J.P. Morgan Securities	Los Angeles	California	•	•
94	91	Andrew Basch	Morgan Stanley PWM	Los Angeles	California		•
95	116	Sean Bricmont	First Republic Investment Management	San Francisco	California		•
96	104	Mark Hebner	Index Fund Advisors	Irvine	California	•	•
97	68	Lance Marcus	Merrill Lynch Wealth Management	Pasadena	California	•	•
98	112	Philip Walters	Wells Fargo Advisors	Beverly Hills	California	•	•
99	101	Steve Winston	Morgan Stanley Wealth Management	Los Angeles	California	•	•
100	117	Jeffrey Germain	Wells Fargo Advisors	Los Angeles	California		•
101	114	Micah Scheinberg	Alex. Brown Raymond James	Century City	California		•
102	N	Alma Guimarin	Morgan Stanley Wealth Management	San Jose	California	•	•
103	110	Ryan McClellan	UBS Financial Services	Los Angeles	California		
104	105	David Jasper	UBS Financial Services	San Francisco	California		•
105	107	Michael Evans	UBS Financial Services	San Francisco	California		•
106	N	Hugh Beecher	First Republic Investment Management	San Francisco	California	•	•
107	N	Jeffrey Traum	Morgan Stanley PWM	Menlo Park	California	•	•
108	83	Dan Murphy	Morgan Stanley Wealth Management	Menlo Park	California	•	•
109	106	Sonny Kothari	Merrill Lynch Wealth Management	Brea	California		•
110	N	Robert Jacobs	Morgan Stanley Wealth Management	Westlake Village	California	•	•
111	115	Vivek Thoppay	Merrill Lynch Wealth Management	San Jose	California		•
112	108	Lawrence Smith	UBS Financial Services	Brea	California	•	•
113	97	David Molnar	HighTower San Diego	San Diego	California		•
114	113	Patrick Severo	RBC Wealth Management	Beverly Hills	California		•
115	119	Darren Pfefferman	Morgan Stanley PWM	San Diego	California		•
116	99	Richard Palmer	Morgan Stanley PWM	San Francisco	California	•	•
117	N	Jennifer Garcia	Wells Fargo Advisors	Encino	California		•
118	103	Devon Porpora	First Republic Investment Management	San Francisco	California	•	•
119	94	Kerrick Bubb	KWB Wealth	Redlands	California	•	•
120	98	Perry Richards	Merrill Lynch Wealth Management	Beverly Hills	California	•	•

1	1	Shawn Fowler	Morgan Stanley PWM	Denver	Colorado		
2	N	Pamela Rosenau	Rosenau Group at HighTower	Aspen	Colorado		
3	2	Wally Obermeyer	Obermeyer Wood Investment Counsel	Aspen	Colorado		
4	7	Donna Di Ianni	Merrill Lynch Wealth Management	Aspen	Colorado		
5	3	Mark Smith	Raymond James	Greenwood Village	Colorado	•	•
6	5	Carl Hoover	Merrill Private Wealth Management	Denver	Colorado		
7	4	Melissa Corrado Harrison	UBS Financial Services	Denver	Colorado		
8	6	Scott Thisted	Merrill Private Wealth Management	Denver	Colorado		
9	N	Gary Cooper	UBS Financial Services	Denver	Colorado		
10	9	Max Bull	Morgan Stanley PWM	Denver	Colorado		
11	10	Andrew Lang	Lang Investment Group	Littleton	Colorado	•	•
12	15	Brent Hablutzel	Merrill Lynch Wealth Management	Greenwood Village	Colorado	•	•
13	11	Mark R. Brown	Brown & Company	Denver	Colorado		
14	16	Brad Bickham	Colorado Financial Management	Boulder	Colorado	•	•
15	14	Frank Caccavallo	Wells Fargo Advisors	Boulder	Colorado	•	•
16	N	Steve Booren	Prosperion Financial Advisors	Greenwood Village	Colorado	•	•
17	12	Joseph Janiczek	Janiczek Wealth Management	Denver	Colorado	•	•
18	17	Michael Caplan	UBS Financial Services	Denver	Colorado	•	•
1	1	Jeff Erdmann	Merrill Private Wealth Management	Greenwich	Connecticut		
2	N	Justin Nelson	J.P. Morgan	Greenwich	Connecticut		
3	2	Paul Tramontano	First Republic Investment Management	Greenwich	Connecticut		
4	3	William Greco	UBS Financial Services	Hartford	Connecticut	•	•
5	N	Laura Davis	J.P. Morgan	Greenwich	Connecticut		
6	4	Brian Hetherington	Merrill Private Wealth Management	New Canaan	Connecticut		
7	N	Patrick Fox	J.P. Morgan	Greenwich	Connecticut		
8	5	Harold Trischman	Morgan Stanley Wealth Management	Greenwich	Connecticut	•	•
9	10	Terence Markey	Morgan Stanley PWM	Greenwich	Connecticut		
10	8	Thomas Vacheron	Merrill Private Wealth Management	Fairfield	Connecticut		
11	11	Bryan Stepanian	Alex. Brown Raymond James	Greenwich	Connecticut		
12	9	Nancy Daoud	Ameriprise Financial	Oxford	Connecticut	•	•
13	12	Charles J. Noble III	Janney Montgomery Scott	New Haven	Connecticut	•	•
14	N	Jeffrey Corliss	RDM Financial Group at HighTower	Westport	Connecticut	•	•
15	N	H. Denis Toner	First Republic Investment Management	Greenwich	Connecticut		
16	16	Peter Chieco	Morgan Stanley Wealth Management	Greenwich	Connecticut	•	•
17	22	Matthew Somberg	Gottfried & Somberg Wealth Management	Glastonbury	Connecticut	•	•

18	15	Teresa Jacobsen	UBS Financial Services	Stamford	Connecticut		•
19	20	David Goodwin	Merrill Lynch Wealth Management	Hartford	Connecticut	•	•
20	19	Chris Jewell	Wells Fargo Advisors	Greenwich	Connecticut		•
21	17	Rob Giannetti	Merrill Private Wealth Management	Greenwich	Connecticut		
22	14	Ken Steeves	Creative Planning - Orange CT	Orange	Connecticut		•
23	N	Joshua Kampf	Merrill Lynch Wealth Management	New Haven	Connecticut		•
24	13	John Goodwin	Morgan Stanley PWM	Stamford	Connecticut		•
25	18	Will Gourd	UBS Financial Services	Stamford	Connecticut		•
26	N	Jason Cerniglia	Ameriprise Financial	Mystic	Connecticut	•	•
27	6	John Beirne	Beirne Wealth Consulting Services	Shelton	Connecticut	•	•
28	35	Thomas Hutchison	Merrill Lynch Wealth Management	New Haven	Connecticut		•
29	21	Ivan Dolgins	Merrill Lynch Wealth Management	Stamford	Connecticut	•	•
30	26	Chad Czerwinski	UBS Financial Services	Hartford	Connecticut	•	•
31	24	Lawrence Haertel	UBS Financial Services	Greenwich	Connecticut	•	•
32	28	Richard T. Goodwin	Merrill Lynch Wealth Management	Madison	Connecticut	•	•
33	29	James Noonan	Merrill Lynch Wealth Management	Mystic	Connecticut	•	•
34	23	Chris North	Creative Planning - Fairfield CT	Fairfield	Connecticut	•	•
35	27	Linus Cooke	Merrill Lynch Wealth Management	New Canaan	Connecticut	•	•
36	30	Thomas Markey	Morgan Stanley Wealth Management	Stamford	Connecticut	•	•
1	1	Marvin McIntyre	Morgan Stanley PWM	Washington	District of Columbia (D.C.)	•	•
2	N	Donald Irwin	J.P. Morgan	Washington	District of Columbia (D.C.)		•
3	2	Michael O'Neill	Morgan Stanley PWM	Washington	District of Columbia (D.C.)		•
4	N	Joyce Said Ward	J.P. Morgan	Washington	District of Columbia (D.C.)		
5	3	John M. Williams	Morgan Stanley PWM	Washington	District of Columbia (D.C.)	•	•
6	4	Brett Anthony	Morgan Stanley Wealth Management	Washington	District of Columbia (D.C.)	•	•
7	N	James New	UBS Financial Services	Washington	District of Columbia (D.C.)		•
8	7	Michael Freiman	Morgan Stanley Wealth Management	Washington	District of Columbia (D.C.)	•	•
9	18	William Finnerty	UBS Financial Services	Washington	District of Columbia (D.C.)		•
10	5	James Wohlgemuth	Morgan Stanley PWM	Washington	District of Columbia (D.C.)		•
11	8	John Reim	Morgan Stanley PWM	Washington	District of Columbia		•

					(D.C.)		
12	9	Keith Apton	UBS Financial Services	Washington	District of Columbia (D.C.)		•
13	10	Tom Rietano	Merrill Private Wealth Management	Washington	District of Columbia (D.C.)		•
14	6	H.L. "Trip" McLaughlin III	Morgan Stanley Wealth Management	Washington	District of Columbia (D.C.)	•	•
15	20	Gregory Marcus	UBS Financial Services	Washington	District of Columbia (D.C.)		•
16	12	Sandra Cunningham	UBS Financial Services	Washington	District of Columbia (D.C.)	•	•
17	11	Frederick Shreves	Wells Fargo Advisors	Washington	District of Columbia (D.C.)	•	•
18	21	Barry Haffner	UBS Financial Services	Washington	District of Columbia (D.C.)	•	•
19	15	John McKnight	Merrill Lynch Wealth Management	Washington	District of Columbia (D.C.)	•	•
20	19	Richard Harris	Alex. Brown Raymond James	Washington	District of Columbia (D.C.)	•	•
21	13	William Slater	Merrill Private Wealth Management	Washington	District of Columbia (D.C.)		•
22	17	Skip Moosher	Morgan Stanley Wealth Management	Washington	District of Columbia (D.C.)	•	•
23	16	Paul Goree	Merrill Lynch Wealth Management	Washington	District of Columbia (D.C.)	•	•
24	14	Hammond Han	UBS Financial Services	Washington	District of Columbia (D.C.)	•	•
1	1	Kimberlee Orth	Ameriprise Financial	Wilmington	Delaware	•	•
2	4	Thomas Rice	Merrill Lynch Wealth Management	Wilmington	Delaware	•	•
3	2	Michael Koppenhaver	Merrill Lynch Wealth Management	Dover	Delaware	•	•
4	3	Donald DeWees Sr.	Wells Fargo Advisors	Greenville	Delaware	•	•
5	6	Peni Warren	Merrill Lynch Wealth Management	Dover	Delaware	•	•
6	N	Donald DeWees Jr.	Wells Fargo Advisors	Greenville	Delaware	•	•
1	1	Thomas Moran	Moran Wealth Management	Naples	Florida	•	•
2	4	Louis Chiavacci	Merrill Private Wealth Management	Coral Gables	Florida		
3	3	Adam Carlin	Morgan Stanley PWM	Coral Gables	Florida		•
4	7	Roy Apple	J.P. Morgan Securities	Palm Beach Gardens	Florida	•	•
5	11	Sean Fetterman	UBS Financial Services	Boca Raton	Florida		•
6	10	Michael Bober	UBS Financial Services	Boca Raton	Florida		•
7	16	Peter Bermont	Raymond James	Coral Gables	Florida		•
8	12	Robert Doyle	Doyle Wealth Management	St. Petersburg	Florida	•	•
9	13	Ed Ventrice	UBS Financial Services	Boca Raton	Florida		•
10	29	John Elwaw	Morgan Stanley Wealth Management	Miami	Florida	•	•

11	18	Todd Moll	Provenance Wealth Advisors Raymond James	Fort Lauderdale	Florida	•	•
12	19	Eric Glasband	Merrill Lynch Wealth Management	Boca Raton	Florida	•	•
13	9	Christopher Aitken	UBS Financial Services	Ponte Vedra Beach	Florida		•
14	15	Don d'Adesky	Raymond James	Boca Raton	Florida	•	•
15	20	Robert Edwards	Edwards Asset Management	Naples	Florida	•	•
16	79 N.Y.	William King	Merrill Lynch Wealth Management	Vero Beach	Florida	•	•
17	17	Margaret Starner	Raymond James	Coral Gables	Florida	•	•
18	31	Keith Rowling	Merrill Lynch Wealth Management	Palm Beach	Florida	•	•
19	39	Richard Altieri	Morgan Stanley Wealth Management	Boca Raton	Florida	•	•
20	52	Janet Franco Gordon	Morgan Stanley Wealth Management	Coral Gables	Florida	•	•
21	22	Michael McCain	Wells Fargo Advisors	Naples	Florida		•
22	24	David Emma	HighTower Naples	Naples	Florida	•	•
23	23	Jason Stephens	UBS Financial Services	Naples	Florida		•
24	25	Kenneth Burke	Merrill Lynch Wealth Management	Heathrow	Florida	•	•
25	27	William Merriam III	Merrill Lynch Wealth Management	Jacksonville	Florida	•	•
26	30	Andrew Schultz	Morgan Stanley PWM	Miami Beach	Florida		•
27	32	Michael Shapiro	Morgan Stanley Wealth Management	Boca Raton	Florida	•	•
28	5 N.C.	Manish Mehta	UBS Financial Services	Orlando	Florida	•	•
29	26	Randy Hallier	Creative Planning - Cape Coral FL	Cape Coral	Florida	•	•
30	14	Ghislain Gouraige	UBS Financial Services	Coral Gables	Florida		•
31	N	Jose Cabrera	Raymond James	Coral Gables	Florida	•	•
32	44	V. Raymond Ferrara	ProVise Management Group	Clearwater	Florida	•	•
33	35 N.J.	Lawrence Catena	Merrill Private Wealth Management	Naples	Florida		•
34	36	Roy Borrego	Merrill Lynch Wealth Management	Punta Gorda	Florida	•	•
35	40	Dominic Casanueva	Merrill Lynch Wealth Management	Lakewood Ranch	Florida	•	•
36	37	Steven Wagner	Omnia Family Wealth	Aventura	Florida		•
37	48	Aimee Cogan	Morgan Stanley Wealth Management	Sarasota	Florida	•	•
38	38	Michael Barron	UBS Financial Services	West Palm Beach	Florida	•	•
39	59	Trevor Fried	Morgan Stanley Wealth Management	Fort Lauderdale	Florida	•	•
40	33	Barry Rubin	Merrill Lynch Wealth Management	Delray Beach	Florida	•	•
41	28	Thais Piotrowski	Ameriprise Financial	Boca Raton	Florida	•	•
42	34	Louise Armour	J.P. Morgan Securities	Palm Beach Gardens	Florida		•
43	56	Kevin Johnson	Morgan Stanley PWM	Naples	Florida		•
44	N	Daryn Binslan	Merrill Lynch Wealth Management	West Palm Beach	Florida	•	•

		rank	advisor	firm	office	state		
45	N		Kurt Sylvia	J.P. Morgan Securities	Palm Beach Gardens	Florida	•	•
46	49		William LaPato	Merrill Lynch Wealth Management	Boca Raton	Florida	•	•
47	46		Scott Pinkerton	Pinkerton, Harkins & Mehserle Private Wealth	Venice	Florida	•	•
48	58		Gregory Pollock	Merrill Lynch Wealth Management	Rockledge	Florida	•	•
49	47		Matt Kilgroe	UBS Financial Services	St. Petersburg	Florida	•	•
50	62		Michelle Mayer	Merrill Private Wealth Management	Naples	Florida		•
51	41		Adam Rosenfeld	Merrill Lynch Wealth Management	Miami	Florida		•
52	N		Stephen Curley	WaterOak Advisors	Winter Park	Florida		•
53	35		Lucas Haber	Merrill Lynch Wealth Management	Daytona Beach	Florida	•	•
54	43		Gregory O'Hare	Merrill Private Wealth Management	Palm Beach Gardens	Florida		•
55	N		Scott Macaione	WaterOak Advisors	Winter Park	Florida	•	•
56	50		Rachel Barzilay	Merrill Lynch Wealth Management	Boca Raton	Florida		•
57	53		David Abelson	Morgan Stanley Wealth Management	Palm Harbor	Florida	•	•
58	51		Damion Carufe	J.P. Morgan Securities	Palm Beach Gardens	Florida	•	•
59	69		Mickey Silverman	Raymond James	Boca Raton	Florida	•	•
60	42		Michael Silver	Baron Silver Stevens Financial Advisors	Boca Raton	Florida	•	•
61	24 D.C.		Neil Kishter	Merrill Private Wealth Management	Boca Raton	Florida		•
62	N		Sergio Akselrad	J.P. Morgan Securities	Miami	Florida		•
63	63		Nadine Miller	Morgan Stanley Wealth Management	Miami	Florida	•	•
64	45		Steve McGovern	Merrill Lynch Wealth Management	Stuart	Florida	•	•
65	55		Chris Leeper	Raymond James	Jacksonville	Florida	•	•
66	70		Keith Jacoby	Wells Fargo Advisors	Naples	Florida	•	•
67	57		Conrad Santiago	Ameriprise Financial	Orlando	Florida	•	•
68	N		Chris Garvin	UBS Financial Services	Fort Lauderdale	Florida	•	•
69	54		Adam Meinrod	Raymond James	Jacksonville	Florida	•	•
70	76		Thomas Ruggie	Ruggie Wealth Management	Tavares	Florida	•	•
71	N		George Perez	GenTrust	Miami	Florida		•
72	77		Douglas Simon	Alex. Brown Raymond James	Palm Beach	Florida		•
73	61		Erick Ellsweig	Merrill Lynch Wealth Management	West Palm Beach	Florida		•
74	66		Jeff Camarda	Camarda Wealth Advisory Group	Fleming Island	Florida	•	•
75	N		Jeremy Ruccio	Merrill Lynch Wealth Management	Miami	Florida		•
76	N		Philip Reagan	J.P. Morgan	Palm Beach	Florida		•
77	N		Ralph Byer	Merrill Lynch Wealth Management	Plantation	Florida	•	•
78	81		Joel Manings	Merrill Lynch Wealth Management	St. Petersburg	Florida	•	•

Rank	2019 Rank	Name	Firm	City	State	2019	2020
79	75	Douglas Patton	Stifel	Pensacola	Florida		•
80	65	Stephen Ruvituso	Merrill Private Wealth Management	Jupiter	Florida		•
81	N	Trent Leyda	Morgan Stanley Wealth Management	Vero Beach	Florida	•	•
82	68	Miguel Viyella	Wells Fargo Advisors	Miami	Florida	•	•
83	67	Elbert Bledsoe	Merrill Lynch Wealth Management	Jacksonville	Florida	•	•
84	64	Brian Shambo	Merrill Lynch Wealth Management	Vero Beach	Florida	•	•
1	1	Rod Westmoreland	Merrill Private Wealth Management	Atlanta	Georgia		•
2	4	James Hansberger	Morgan Stanley PWM	Atlanta	Georgia		•
3	3	Brian Frank	Morgan Stanley PWM	Atlanta	Georgia		•
4	2	Robert Balentine	Balentine	Atlanta	Georgia		•
5	11	Michael Merlin	Morgan Stanley PWM	Atlanta	Georgia	•	•
6	6	Keith Mericka	UBS Financial Services	Atlanta	Georgia		•
7	7	Wes Moss	Capital Investment Advisors	Atlanta	Georgia	•	•
8	13	Buck Wiley III	Merrill Private Wealth Management	Atlanta	Georgia		•
9	14	Ron Hughes	Merrill Private Wealth Management	Atlanta	Georgia		•
10	8	Vandyke Price	UBS Financial Services	Atlanta	Georgia		•
11	15	John Montgomery	UBS Financial Services	Atlanta	Georgia		•
12	12	Bert Ponder	Merrill Private Wealth Management	Atlanta	Georgia		•
13	26	Al Parker	Morgan Stanley PWM	Atlanta	Georgia		•
14	16	Allen Wright	UBS Financial Services	Atlanta	Georgia	•	•
15	9	Earle Dodd	UBS Financial Services	Atlanta	Georgia		•
16	19	Andrew Harbour	Morgan Stanley Graystone	Atlanta	Georgia		•
17	20	Michael Hines	Consolidated Planning Raymond James	Atlanta	Georgia	•	•
18	N	Donna Joyner	Merrill Lynch Wealth Management	Alpharetta	Georgia	•	•
19	N	Erik Bjerke	Merrill Lynch Wealth Management	Atlanta	Georgia		•
20	30	Graham Roberts	Morgan Stanley Wealth Management	Atlanta	Georgia		•
21	N	Saul Levy	Morgan Stanley Wealth Management	Atlanta	Georgia		•
22	N	David Mack	Merrill Lynch Wealth Management	Atlanta	Georgia		•
23	28	Leslie Lauer	UBS Financial Services	Atlanta	Georgia		•
24	22	Scott Thompson	CIBC Private Wealth Management	Atlanta	Georgia		•
25	17	Jay Jowers	Merrill Private Wealth Management	Atlanta	Georgia		•
26	N	David Skid	Morgan Stanley Wealth Management	Atlanta	Georgia	•	•
27	27	Roger Green	Green Financial Resources	Duluth	Georgia	•	•
28	21	Ryan Smith	Northwestern Mutual Wealth Management	Atlanta	Georgia	•	•
29	N	Andy Zager	UBS Financial Services	Atlanta	Georgia	•	•

2020 Top 1200 U. S. Financial Advisors Ranked by State

30	29	Jake Bryant	Ameriprise Financial	Atlanta	Georgia	•	•
1	N	Matthew Megorden	UBS Financial Services	Honolulu	Hawaii	•	•
2	2	Alan Kodama	Ameriprise Financial	Honolulu	Hawaii	•	•
3	1	Peter Backus	Morgan Stanley Graystone	Honolulu	Hawaii	•	•
4	4	Jason Miyashita	Raymond James	Maite	Hawaii	•	•
5	6	James Bennett	Morgan Stanley Wealth Management	Honolulu	Hawaii	•	•
6	3	Peter Ehrman	Morgan Stanley Wealth Management	Honolulu	Hawaii	•	•
1	1	Robert Brian King	RBC Wealth Management	Eagle	Idaho	•	•
2	3	Justin Samples	Ameriprise Financial	Boise	Idaho	•	•
3	2	Bruce Davidson	Wells Fargo Advisors	Boise	Idaho	•	•
4	5	Robert Bracco	Merrill Lynch Wealth Management	Boise	Idaho	•	•
5	N	Sandra Dalton	UBS Financial Services	Boise	Idaho		•
6	4	Uwe Ruttke	Merrill Lynch Wealth Management	Boise	Idaho	•	•
1	7	Paul Tashima	UBS Financial Services	Chicago	Illinois		•
2	1	Steven Hefter	HLM Capital	Highland Park	Illinois		•
3	N	Ryan Flury	J.P. Morgan	Chicago	Illinois		•
4	2	John Cultra	William Blair	Chicago	Illinois		•
5	N	Charles Cooper	J.P. Morgan	Chicago	Illinois		•
6	3	Thomas Wilson	William Blair	Chicago	Illinois		•
7	5	Brian Hahn	Neuberger Berman	Chicago	Illinois		•
8	4	Michael Gallagher	Morgan Stanley Wealth Management	Chicago	Illinois	•	•
9	6	Steven Weinstein	Altair Advisers	Chicago	Illinois		•
10	10	Andrew Griffo	Morgan Stanley Wealth Management	Chicago	Illinois		•
11	25	Louis Paster	UBS Financial Services	Chicago	Illinois		•
12	N	David Mabie	Chicago Capital	Chicago	Illinois		•
13	8	Thomas Salvino	William Blair	Chicago	Illinois	•	•
14	26	Stewart Mather	Mather Group	Chicago	Illinois		•
15	9	Raj Bhatia	Merrill Private Wealth Management	Chicago	Illinois		•
16	18	James Whitney	Morgan Stanley Graystone	Chicago	Illinois		•
17	11	Scott Magnesen	Morgan Stanley Wealth Management	Oak Brook	Illinois	•	•
18	14	Mark Biringier	Morgan Stanley PWM	Chicago	Illinois		•
19	15	Shawn Parker	Ameriprise Financial	Schaumburg	Illinois	•	•
20	16	John Joyce	Morgan Stanley PWM	Chicago	Illinois		•
21	21	Louise Lane	William Blair	Chicago	Illinois	•	•
22	30	Michael Schaffer	Wells Fargo Advisors	Lake Forest	Illinois		•
23	29	Mark Thorndyke	Merrill Lynch Wealth Management	Chicago	Illinois	•	•
24	28	Daniel Fries	Merrill Lynch Wealth Management	Chicago	Illinois	•	•
25	17	Matthew Dillig	Dillig Bowen Group at HighTower	Chicago	Illinois		•

26	31	Kristina Van Liew	Morgan Stanley Graystone	Chicago	Illinois		•
27	27	Tom Kilborn	Merrill Lynch Wealth Management	Northbrook	Illinois	•	•
28	32	Linda Stephans	Morgan Stanley Graystone	Chicago	Illinois		•
29	24	Daniel Grant	William Blair	Chicago	Illinois		•
30	23	Walter "JR" Gondeck	Lerner Group at HighTower	Deerfield	Illinois	•	•
31	19	Sharon Oberlander	Merrill Lynch Wealth Management	Chicago	Illinois		•
32	N	Ted Davis	Morgan Stanley Wealth Management	Chicago	Illinois	•	•
33	48	Robert Fix	William Blair	Chicago	Illinois	•	•
34	22	David Wilkinson	Morgan Stanley PWM	Chicago	Illinois		•
35	49	Steve Elkins	William Blair	Chicago	Illinois	•	•
36	33	James Oberheide	Morgan Stanley PWM	Chicago	Illinois		•
37	41	David Wright	Merrill Private Wealth Management	Chicago	Illinois		•
38	40	Russell McLean	McLean Wealth Management Group	Oak Brook	Illinois	•	•
39	N	Kathleen Roeser	Morgan Stanley Wealth Management	Chicago	Illinois		•
40	39	Joseph Kartheiser	Morgan Stanley Wealth Management	Chicago	Illinois		•
41	12	Jay Maltby	UBS Financial Services	Chicago	Illinois		•
42	38	Benjamin Klein	Merrill Lynch Wealth Management	Northbrook	Illinois	•	•
43	N	Tom Bridges	CIBC Private Wealth Management	Chicago	Illinois	•	•
44	43	Michael Cohen	Morgan Stanley Wealth Management	Chicago	Illinois	•	•
45	37	Joseph Silich	Morgan Stanley Wealth Management	Chicago	Illinois		•
46	N	Philip Watson	Ameriprise Financial	Downers Grove	Illinois	•	•
47	34	James Moriarity	Morgan Stanley PWM	Chicago	Illinois		•
48	36	Brad DeHond	Morgan Stanley PWM	Chicago	Illinois		•
49	35	Ajay Desai	UBS Financial Services	Chicago	Illinois		•
50	50	Benjamin Leshem	HLM Capital	Highland Park	Illinois		•
51	46	Sean Lannan	Morgan Stanley Wealth Management	Chicago	Illinois	•	•
52	60	Peter Lee	Summit Trail Advisors	Chicago	Illinois		•
53	45	Dan Hoffmann	Morgan Stanley Wealth Management	Chicago	Illinois	•	•
54	58	John Hammond	Merrill Lynch Wealth Management	Northbrook	Illinois	•	•
55	55	Christopher Garrity	Merrill Lynch Wealth Management	Northbrook	Illinois	•	•
56	54	Brian Speers	Merrill Lynch Wealth Management	Oak Brook	Illinois	•	•
57	51	David Sheppard	Merrill Lynch Wealth Management	Deer Park	Illinois		•
58	53	Kyle Chudom	Morgan Stanley Wealth Management	Oak Brook	Illinois	•	•
59	57	David Tracy	Wells Fargo Advisors	Chicago	Illinois		•
60	52	Kevin Lynch	Morgan Stanley PWM	Chicago	Illinois		•
1	1	Wm. Craig Dobbs	Morgan Stanley Graystone	Indianapolis	Indiana		

2	2	John Perry	Morgan Stanley Wealth Management	Indianapolis	Indiana	•	•
3	4	Martin Gregor	Merrill Private Wealth Management	Indianapolis	Indiana		•
4	5	Eric Payne	Merrill Lynch Wealth Management	Indianapolis	Indiana	•	•
5	3	John Cate	Merrill Lynch Wealth Management	Indianapolis	Indiana		•
6	6	Christopher Moore	Ameriprise Financial	Fort Wayne	Indiana	•	•
7	7	Ronald Mencias	Merrill Lynch Wealth Management	Indianapolis	Indiana	•	•
8	8	Jonathan Klausner	Morgan Stanley Wealth Management	Indianapolis	Indiana	•	•
9	9	Daniel Finley	Merrill Lynch Wealth Management	Fort Wayne	Indiana	•	•
10	14	Scott Saxe	Wells Fargo Advisors	Evansville	Indiana	•	•
11	10	John Duffy	Morgan Stanley Wealth Management	Indianapolis	Indiana	•	•
12	15	K. Gregory Akers	Merrill Lynch Wealth Management	Indianapolis	Indiana	•	•
13	11	Matthew Kahn	Merrill Lynch Wealth Management	South Bend	Indiana	•	•
14	17	J. Trent Cowles	Merrill Lynch Wealth Management	Indianapolis	Indiana		•
15	12	Christopher Brooke	Merrill Lynch Wealth Management	Indianapolis	Indiana		•
16	16	Morris Hancock	Merrill Lynch Wealth Management	Indianapolis	Indiana	•	•
17	13	Randy Lehman	Summit Financial Group	Fort Wayne	Indiana	•	•
18	18	Kevin McMullen	Merrill Lynch Wealth Management	Indianapolis	Indiana	•	•
1	3	Bryan Boesen	Morgan Stanley Wealth Management	Des Moines	Iowa		•
2	1	Matt Fryar	Wells Fargo Advisors	Des Moines	Iowa		•
3	2	Timothy Finucan	Edward Jones	Webster City	Iowa	•	•
4	5	Jeffrey Brandt	Ameriprise Financial	Fort Dodge	Iowa	•	•
5	4	Jerry Ask	Jerry K. Ask Investment Services Raymond James	Cedar Rapids	Iowa	•	•
6	N	Joshua Denning	Ameriprise Financial	Fort Madison	Iowa	•	•
1	1	Tim Sutton	Creative Planning	Overland Park	Kansas	•	•
2	2	Jay Beebe	Creative Planning	Overland Park	Kansas	•	•
3	6	Scott Ferguson	Morgan Stanley Wealth Management	Leawood	Kansas	•	•
4	4	Richard Homuth	Merrill Lynch Wealth Management	Leawood	Kansas		•
5	N	Trey Barnes	Mariner Wealth Advisors	Overland Park	Kansas	•	•
6	3	Brett Broyles	Creative Planning	Overland Park	Kansas	•	•
1	1	Barry Barlow	Merrill Lynch Wealth Management	Louisville	Kentucky		•
2	4	Douglas Kisker	Ameriprise Financial	Crestview Hills	Kentucky	•	•
3	5	Pete Mahurin	Baird	Bowling Green	Kentucky	•	•
4	3	Travis Musgrave	Merrill Lynch Wealth Management	Lexington	Kentucky	•	•
5	7	Philip McCauley	Morgan Stanley Wealth Management	Louisville	Kentucky	•	•
6	2	Mitch Settle	Baird	Owensboro	Kentucky	•	•

7	6	Dean Donohue	Ameriprise Financial	Louisville	Kentucky	•	•
8	12	Thomas Perrone	Morgan Stanley Wealth Management	Louisville	Kentucky	•	•
9	9	Dan Foutch	Baird	Glasgow	Kentucky	•	•
10	8	Scott Kelly	Merrill Private Wealth Management	Louisville	Kentucky		•
11	N	Morgan Fister	Morgan Stanley Wealth Management	Lexington	Kentucky	•	•
12	10	Robert Schneider	Morgan Stanley Wealth Management	Louisville	Kentucky	•	•
1	2	Charles Simmons	Ameriprise Financial	Metairie	Louisiana	•	•
2	3	Curtis Eustis	Merrill Lynch Wealth Management	New Orleans	Louisiana		•
3	1	James "Rick" Frayard	UBS Financial Services	Lafayette	Louisiana	•	•
4	5	James Spiro	Morgan Stanley Wealth Management	New Orleans	Louisiana	•	•
5	N	Donald Celestin	Morgan Stanley Wealth Management	Mandeville	Louisiana	•	•
6	4	Robert Bickham	UBS Financial Services	New Orleans	Louisiana		•
1	2	Brian Clement	RBC Wealth Management	Portland	Maine	•	•
2	4	Jeremiah Burns	Morgan Stanley PWM	Portland	Maine		•
3	5	Thomas Gower III	UBS Financial Services	Bangor	Maine	•	•
4	3	Gibson Wilkes	White Pine Wealth Management at HighTower	Falmouth	Maine	•	•
5	1	Todd Doolan	Morgan Stanley Wealth Management	Portland	Maine	•	•
6	6	Robertson Breed	F.L.Putnam Investment Management	Portland	Maine	•	•
1	1	Robert Scherer	Morgan Stanley Graystone	Potomac	Maryland		•
2	2	Kent Pearce	Merrill Lynch Wealth Management	Towson	Maryland		•
3	3	Joseph Jacques	Jacques Financial	Rockville	Maryland	•	•
4	5	Ted Smith	UBS Financial Services	Baltimore	Maryland		•
5	13	Peter Dunne	Merrill Lynch Wealth Management	Bethesda	Maryland		•
6	8	Jeff Leventhal	HighTower Bethesda	Bethesda	Maryland	•	•
7	12	James Meek	Morgan Stanley Graystone	Baltimore	Maryland		•
8	6	E. Geoffrey Sella	SPC Financial Raymond James	Rockville	Maryland	•	•
9	9	Larry Boggs	Wells Fargo Advisors	Cumberland	Maryland	•	•
10	7	Barry Garber	Alex. Brown Raymond James	Baltimore	Maryland		•
11	10	Ross Charkatz	Morgan Stanley Graystone	Potomac	Maryland	•	•
12	11	Peter Maller	Maller Wealth Advisors	Hunt Valley	Maryland	•	•
13	4	Gregory Hurlbrink	Morgan Stanley Wealth Management	Baltimore	Maryland	•	•
14	14	Brett Clifford	Morgan Stanley PWM	Baltimore	Maryland		•
15	N	Robert Collins	Collins Investment Group	Bethesda	Maryland	•	•
16	15	Gregory Baker	Merrill Lynch Wealth Management	Bethesda	Maryland	•	•

RBC Wealth

17	17	Patricia Baum	RBC Wealth Management	Annapolis	Maryland		
18	16	Jonathan Murray	UBS Financial Services	Hunt Valley	Maryland	•	•
19	18	Ann Marie Etergino	RBC Wealth Management	Chevy Chase	Maryland	•	•
20	20	Frank Dingle	RBC Wealth Management	Baltimore	Maryland	•	•
21	19	Brian Kroneberger	RBC Wealth Management	Hunt Valley	Maryland	•	•
22	21	Richard Wagener	Wagener Lee Wealth Advisors Raymond James	Columbia	Maryland	•	•
23	24	James Holzapfel	Wells Fargo Advisors	Hagerstown	Maryland	•	•
24	N	William Orr	Merrill Private Wealth Management	Frederick	Maryland		•
1	2	Raj Sharma	Merrill Private Wealth Management	Boston	Massachusetts		•
2	4	Kevin Grimes	Grimes & Company	Westborough	Massachusetts	•	•
3	5	Susan Kaplan	Kaplan Financial Services	Newton	Massachusetts		•
4	3	Peter Princi	Morgan Stanley Graystone	Boston	Massachusetts		•
5	6	Daniel Wiener	Adviser Investments	Newton	Massachusetts	•	•
6	9	William Sullivan	UBS Financial Services	Boston	Massachusetts		•
7	10	Charles S. Bean III	Heritage Financial Services	Westwood	Massachusetts	•	•
8	8	Thomas Bartholomew	Bartholomew & Company	Worcester	Massachusetts	•	•
9	12	John Lechner	Morgan Stanley PWM	Boston	Massachusetts		•
10	13	Devin Condron	Morgan Stanley PWM	Boston	Massachusetts		•
11	16	Daniel Wilson	Ameriprise Financial	Auburndale	Massachusetts	•	•
12	14	Mary Mullin	Merrill Lynch Wealth Management	Boston	Massachusetts		•
13	21	Richard Miller	Ameriprise Financial	Wellesley	Massachusetts	•	•
14	19	Peter Noonan	J.P. Morgan Securities	Boston	Massachusetts	•	•
15	22	David Javaheri	Morgan Stanley Wealth Management	Wellesley	Massachusetts		•
16	7	Richard F. Connolly	Morgan Stanley PWM	Boston	Massachusetts	•	•
17	18	John Spooner	Morgan Stanley Wealth Management	Boston	Massachusetts	•	•
18	23	Ira Rapaport	New England Private Wealth Advisors	Wellesley	Massachusetts		•
19	20	Gary McGuirk	Merrill Private Wealth Management	Boston	Massachusetts		•
20	25	Mark Joyce	Merrill Lynch Wealth Management	Boston	Massachusetts	•	•
21	N	Victor Livingstone	Morgan Stanley PWM	Boston	Massachusetts		•
22	N	Andrew Zimmerman	Merrill Lynch Wealth Management	Boston	Massachusetts		•
23	N	Cory Schauer	Alex. Brown Raymond James	Boston	Massachusetts		•
24	15	Raju Pathak	Morgan Stanley Wealth Management	Boston	Massachusetts	•	•
25	N	Carl Cafaro	Merrill Lynch Wealth Management	Newton	Massachusetts		•
26	30	Mark Winthrop	Winthrop Wealth Management	Westborough	Massachusetts	•	•
27	N	Maxwell Peckler	UBS Financial Services	Boston	Massachusetts	•	•

28	24	Paul McCauley	Merrill Private Wealth Management	Boston	Massachusetts		
29	N	David Barcomb	Merrill Lynch Wealth Management	Boston	Massachusetts		
30	26	Timothy Connolly	UBS Financial Services	Boston	Massachusetts	•	•
1	1	Charles C. Zhang	Zhang Financial	Portage	Michigan	•	•
2	2	David Kudla	Mainstay Capital Management	Grand Blanc	Michigan	•	•
3	6	Jeffrey Fratarcangeli	Fratarcangeli Wealth Management	Birmingham	Michigan	•	•
4	3	Dana Locniskar	Merrill Private Wealth Management	Troy	Michigan		•
5	5	Philip Appel	Merrill Lynch Wealth Management	Bloomfield Hills	Michigan		•
6	4	Gary Ran	Telemus Capital	Southfield	Michigan	•	•
7	8	Kevin VanDyke	Bloomfield Hills Financial	Bloomfield Hills	Michigan	•	•
8	15	Melissa Spickler	Merrill Lynch Wealth Management	Bloomfield Hills	Michigan	•	•
9	10	William Messner	Morgan Stanley Graystone	Birmingham	Michigan	•	•
10	7	Brian Brice	Morgan Stanley Graystone	Birmingham	Michigan	•	•
11	14	Leo Stevenson	Merrill Lynch Wealth Management	Wyandotte	Michigan	•	•
12	16	Frank Moore	Vintage Financial Services	Ann Arbor	Michigan	•	•
13	19	David Brazen	Ameriprise Financial	Troy	Michigan	•	•
14	12	Timothy Brice	Morgan Stanley Graystone	Birmingham	Michigan	•	•
15	N	Nicole Christians	Merrill Lynch Wealth Management	Farmington Hills	Michigan	•	•
16	23	Sheryl Stephens	Stephens Wealth Management Group Raymond James	Flint	Michigan	•	•
17	N	Scott Bernecker	Merrill Lynch Wealth Management	Grand Rapids	Michigan	•	•
17	20	Marie Vanerian	Merrill Lynch Wealth Management	Troy	Michigan		•
19	21	Abraham Post	Merrill Lynch Wealth Management	Troy	Michigan		•
20	N	Aaron Romain	Merrill Lynch Wealth Management	Farmington Hills	Michigan	•	•
21	18	Jon Vigi	UBS Financial Services	Birmingham	Michigan	•	•
22	22	Richard Bloom	Bloom Asset Management	Farmington Hills	Michigan	•	•
23	25	Michael Rosloniec	Morgan Stanley Graystone	Grand Rapids	Michigan		
24	24	Frank Migliazzo	Merrill Private Wealth Management	Troy	Michigan	•	•
25	28	Bruce Ballard	Merrill Lynch Wealth Management	Farmington Hills	Michigan	•	•
25	N	William Mackay	Merrill Lynch Wealth Management	Grand Rapids	Michigan	•	•
27	N	Jay McGill	Merrill Lynch Wealth Management	Auburn Hills	Michigan	•	•
28	26	Bruce Johnson	CapTrust	Holland	Michigan	•	•
29	27	Todd Kephart	Retirement Income Solutions	Ann Arbor	Michigan	•	•
30	30	James Kruzan	Kaydan Wealth Management Raymond James	Fenton	Michigan	•	•

1	3	Brad Wheelock	RBC Wealth Management	Saint Cloud	Minnesota	•	•
2	2	Richard S. Brown	JNBA Financial Advisors	Minneapolis	Minnesota	•	•
3	4	Christina Boyd	Merrill Lynch Wealth Management	Wayzata	Minnesota		•
4	1	Peter Eckerline	Merrill Lynch Wealth Management	Wayzata	Minnesota	•	•
5	6	Thomas Drees	Morgan Stanley Wealth Management	Bloomington	Minnesota	•	•
6	8	Charlie Kelley	Compass Capital Management	Minneapolis	Minnesota		•
7	22	Louis Close	UBS Financial Services	Minneapolis	Minnesota		•
8	5	Ben Marks	Marks Group Wealth Management	Minnetonka	Minnesota	•	•
9	10	Michael Erpelding	Erpelding Wealth Management	Edina	Minnesota	•	•
10	20	David A. Olson	Morgan Stanley Wealth Management	Rochester	Minnesota	•	•
11	14	Jacob Creydt	UBS Financial Services	Bloomington	Minnesota	•	•
12	16	Randall Ehleringer	Ameriprise Financial	Rochester	Minnesota	•	•
13	N	Aaron Howe	RBC Wealth Management	Wayzata	Minnesota	•	•
14	15	Michael Slaggie	Slaggie Capital Group Raymond James	Winona	Minnesota		•
15	19	Robert Swiller	UBS Financial Services	Minneapolis	Minnesota	•	•
16	17	Royal Jaros	Ameriprise Financial	Apple Valley	Minnesota	•	•
17	N	Frank Summers	Merrill Lynch Wealth Management	Minneapolis	Minnesota	•	•
18	12	Mark Joern	Ameriprise Financial	Minneapolis	Minnesota	•	•
19	N	Derek Cherne	UBS Financial Services	Wayzata	Minnesota	•	•
20	23	Randy Doroff	Ameriprise Financial	Minneapolis	Minnesota	•	•
21	24	Scott Kauls	Merrill Lynch Wealth Management	Minneapolis	Minnesota	•	•
22	21	William Sukup	Wealth Enhancement Group	Woodbury	Minnesota	•	•
23	9	Jesse Bull	UBS Financial Services	Wayzata	Minnesota	•	•
24	N	Theresa Ward	Merrill Private Wealth Management	Minneapolis	Minnesota		•
1	2	Bill Rush Mosby	UBS Financial Services	Jackson	Mississippi	•	•
2	3	John McRae	Raymond James	Meridian	Mississippi	•	•
3	4	Arthur Finkelberg	Raymond James	Ridgeland	Mississippi	•	•
4	5	Lynn Phillips-Gaines	Phillips Financial Raymond James	Starkville	Mississippi	•	•
5	N	Bill Geary	Raymond James	Jackson	Mississippi	•	•
6	6	Wheeler Parker	Merrill Lynch Wealth Management	Ridgeland	Mississippi	•	•
1	2	Michael Moeller	Wells Fargo Advisors	Chesterfield	Missouri	•	•
2	3	Bud King	UBS Financial Services	St. Louis	Missouri		•
3	4	Diane Compardo	Moneta Group	Clayton	Missouri		•
4	10	Mark Wilkins	UBS Financial Services	St. Louis	Missouri		•
5	6	Seth Murray	Ameriprise Financial	Springfield	Missouri	•	•
6	5	Dennis Williams	Morgan Stanley PWM	Kansas City	Missouri	•	•
7	11	Robert Millner	Morgan Stanley Wealth Management	Clayton	Missouri	•	•

8	8	Gordon Hamilton III	Morgan Stanley Wealth Management	Kansas City	Missouri	•	•
9	N	Theresa Gorin	Merrill Lynch Wealth Management	Chesterfield	Missouri	•	•
10	9	Barbara Archer	HighTower St. Louis	St. Louis	Missouri		•
11	7	James Hise	Merrill Private Wealth Management	Kansas City	Missouri	•	•
12	12	Bradford Koенeman	Moneta Group	Clayton	Missouri		•
13	14	Kathleen Youngerman	Morgan Stanley PWM	Chesterfield	Missouri	•	•
14	16	John Gatewood	Gatewood Wealth Solutions	Saint Louis	Missouri	•	•
15	N	Don Swanson	Edward Jones	Joplin	Missouri	•	•
16	13	Scott Underwood	Northwest Mutual Socium Advisors	Saint Louis	Missouri	•	•
17	15	James Moore	Wells Fargo Advisors	Clayton	Missouri	•	•
18	17	Michael Lowry	Merrill Private Wealth Management	Kansas City	Missouri	•	•
1	1	James B. Stack	Stack Financial Management	Whitefish	Montana	•	•
2	N	Charles Wagner	Merrill Lynch Wealth Management	Billings	Montana	•	•
3	4	Jared Losing	Ameriprise Financial	Missoula	Montana	•	•
4	2	William Royer	UBS Financial Services	Billings	Montana	•	•
5	5	Scott Knutson	Wells Fargo Advisors	Helena	Montana	•	•
6	N	Joshua Hedge	Merrill Lynch Wealth Management	Billings	Montana	•	•
1	2	Jonathan Beukelman	UBS Financial Services	Lincoln	Nebraska		•
2	1	Ryan Swartz	Creative Planning - Omaha NE	Omaha	Nebraska	•	•
3	3	Andrew Robinson	Morgan Stanley Wealth Management	Omaha	Nebraska	•	•
4	5	John Garlock	RBC Wealth Management	Omaha	Nebraska	•	•
5	4	Jason Dworak	UBS Financial Services	Lincoln	Nebraska		•
6	N	Jim Siemonsma	Mariner Wealth Advisors	Omaha	Nebraska	•	•
1	1	Randy Garcia	Investment Counsel	Las Vegas	Nevada		•
2	2	Mark Binder	UBS Financial Services	Las Vegas	Nevada		•
3	3	Deborah Danielson	Danielson Financial Group LPL Financial	Las Vegas	Nevada	•	•
4	5	James Small	UBS Financial Services	Las Vegas	Nevada	•	•
5	6	Nadine Faulis	Merrill Lynch Wealth Management	Las Vegas	Nevada	•	•
6	N	Steve Russell	Wells Fargo Advisors	Incline Village	Nevada	•	•
1	1	Robert Bonfiglio	Ameriprise Financial	Bedford	New Hampshire	•	•
2	2	John Habig	Morgan Stanley Wealth Management	Portsmouth	New Hampshire	•	•
3	3	Brian Doyle	Wells Fargo Advisors	Hanover	New Hampshire	•	•
4	4	Sean Doyle	Merrill Lynch Wealth Management	Manchester	New Hampshire	•	•
5	5	Stephen Loughman	Wells Fargo Advisors	Hanover	New Hampshire	•	•
6	N	P. Kevin O'Brien	Wells Fargo Advisors	Portsmouth	New Hampshire	•	•
1	N	Stephen Hess	J.P. Morgan	Summit	New Jersey		•

2	2	Mary Deatherage	Morgan Stanley PWM	Little Falls	New Jersey		
3	1	David Weinerman	Morgan Stanley Wealth Management	Florham Park	New Jersey	•	•
4	4	Daniel Schwartz	UBS Financial Services	Paramus	New Jersey		•
5	14	Gary Tantleff	UBS Financial Services	Warren	New Jersey		•
6	3	Michael Ricca	Morgan Stanley Wealth Management	Florham Park	New Jersey	•	•
7	5	L. Marc Shegoski	UBS Financial Services	Princeton	New Jersey		•
8	6	Mark Lippman	Wells Fargo Advisors	Linwood	New Jersey	•	•
9	11	Bruce Pomerantz	Wells Fargo Advisors	Short Hills	New Jersey	•	•
10	7	Frank Seminara	Morgan Stanley PWM	Florham Park	New Jersey		•
11	12	Christopher Cook	Merrill Lynch Wealth Management	Florham Park	New Jersey		•
12	10	John Micera	RBC Wealth Management	Florham Park	New Jersey	•	•
13	15	Elliott Kugel	Merrill Lynch Wealth Management	Bridgewater	New Jersey	•	•
14	16	Thomas Meyer	Meyer Capital Group	Marlton	New Jersey	•	•
15	17	James McLaughlin	Princeton Wealth Advisors Raymond James	Princeton	New Jersey	•	•
16	21	Andy Schwartz	Bleakley Financial Group	Fairfield	New Jersey	•	•
17	9	Joseph Matina	UBS Financial Services	Short Hills	New Jersey	•	•
18	20	Mark Fendrick	UBS Financial Services	Mount Laurel	New Jersey	•	•
19	24	Jack Burke	Merrill Lynch Wealth Management	Florham park	New Jersey	•	•
20	18	Mark Tobias	Morgan Stanley Wealth Management	New Brunswick	New Jersey	•	•
21	23	Bruce Gsell	Merrill Lynch Wealth Management	Iselin	New Jersey	•	•
22	26	Stephen Pollard	Merrill Lynch Wealth Management	Princeton	New Jersey		•
23	N	Mark Cortazzo	Macro Consulting Group	Parsippany	New Jersey	•	•
24	25	Michael Gara	UBS Financial Services	Princeton	New Jersey	•	•
25	30	Jack Riley	UBS Financial Services	Warren	New Jersey		•
26	28	James Maltese	Merrill Lynch Wealth Management	Iselin	New Jersey	•	•
27	27	Christopher Zangari	UBS Financial Services	Westfield	New Jersey		•
28	29	Goran Bojovski	Merrill Lynch Wealth Management	Iselin	New Jersey	•	•
29	22	Richard Udine	Morgan Stanley Wealth Management	Mount Laurel	New Jersey	•	•
30	39	Neil McPeak	Wells Fargo Advisors	Linwood	New Jersey	•	•
31	32	Ken Schapiro	Condor Capital Management	Martinsville	New Jersey	•	•
32	36	William Munn	Ameriprise Financial	Paramus	New Jersey	•	•
33	31	Patricia Bell	Merrill Lynch Wealth Management	Short Hills	New Jersey		•
34	37	Alan Spierer	UBS Financial Services	Westfield	New Jersey		•
35	40	Christine McGinley	UBS Financial Services	Mount Laurel	New Jersey	•	•
36	N	Brent Beene	RegentAtlantic	Morristown	New Jersey	•	•
37	44	David Briggs	Merrill Lynch Wealth	Bridgewater	New Jersey	•	•

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37	44	David Dreys	Management	Bridgewater	New Jersey	•	•
38	33	Steven Rothman	UBS Financial Services	Red Bank	New Jersey	•	•
39	42	Bruce Barth	Merrill Lynch Wealth Management	Short Hills	New Jersey	•	•
40	43	John Hudspeth	Merrill Lynch Wealth Management	Mount Laurel	New Jersey	•	•
41	N	Julia Bishop	Merrill Lynch Wealth Management	Florham Park	New Jersey	•	•
42	41	Anthony Valente	Morgan Stanley Wealth Management	Shrewsbury	New Jersey	•	•
43	45	David Comito	Merrill Lynch Wealth Management	Florham Park	New Jersey	•	•
44	N	Michael Steiner	RegentAtlantic	Morristown	New Jersey	•	•
45	34	Francis Bitterly	Morgan Stanley Wealth Management	Red Bank	New Jersey	•	•
46	47	Charles Malamut	Morgan Stanley Wealth Management	Northfield	New Jersey	•	•
47	N	Reed Finney	Bleakley Financial Group	Fairfield	New Jersey	•	•
48	N	Mary Beckett	Merrill Lynch Wealth Management	Mount Laurel	New Jersey	•	•
1	5	Michael Stevens	Merrill Lynch Wealth Management	Albuquerque	New Mexico	•	•
2	1	Michael Blackwell	Morgan Stanley Wealth Management	Albuquerque	New Mexico	•	•
3	6	Brian Cochran	John Moore Associates Raymond James	Albuquerque	New Mexico	•	•
4	2	C.B. "Pete" Edmonds	UBS Financial Services	Albuquerque	New Mexico	•	•
5	4	Charles Reardon	Morgan Stanley Wealth Management	Albuquerque	New Mexico	•	•
6	N	Paul Trone	Edward Jones	Carlsbad	New Mexico	•	•
1	1	Lyon Polk	Morgan Stanley PWM	New York	New York		
2	2	Brian Pfeiffer	Morgan Stanley PWM	New York	New York		•
3	N	Fabio Lindia	J.P. Morgan	New York	New York		
4	4	Richard Saperstein	Treasury Partners	New York	New York		•
5	6	Kevin Peters	Morgan Stanley Wealth Management	Purchase	New York		•
6	5	Seth Finkel	Neuberger Berman	New York	New York		•
7	3	Ron Basu	Morgan Stanley PWM	New York	New York		
8	8	Ed Moldaver	Stifel	New York	New York		•
9	11	Scott Wilson	Morgan Stanley PWM	New York	New York	•	•
10	N	Claire Lea-Howarth	J.P. Morgan	New York	New York		
11	N	Katherine Ginsburg	J.P. Morgan	New York	New York		
12	12	Stephanie J. Stiefel	Neuberger Berman	New York	New York		•
13	9	Martin Halbfinger	UBS Financial Services	New York	New York		•
14	13	Scott Stackman	UBS Financial Services	New York	New York		•
15	16	Robert Stolar	Morgan Stanley PWM	New York	New York		
16	7	Ron Vinder	Morgan Stanley PWM	New York	New York		
17	15	Russell Rabito	UBS Financial Services	New York	New York		•
18	N	Ellen Morton	J.P. Morgan	New York	New York		•
19	18	Jason Katz	UBS Financial Services	New York	New York	•	•
20	21	William					

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20	14	Peter Peterson	Neuberger Berman	New York	New York		•
21	17	Noel Weil	Merrill Private Wealth Management	New York	New York		•
22	N	Richard Zinman	Morgan Stanley PWM	New York	New York		•
23	35	Frank Marzano	GM Advisory Group	Melville	New York	•	•
24	38	Jim Detterick	Morgan Stanley Graystone	New York	New York		•
25	24	Deborah Montaperto	Morgan Stanley PWM	New York	New York		
26	19	Jeffrey Kobernick	UBS Financial Services	New York	New York		•
27	N	John Mathai	J.P. Morgan	New York	New York		
28	20	Robert Sechan	UBS Financial Services	New York	New York		•
29	22	Josh Gully	Morgan Stanley PWM	New York	New York		•
30	N	Matthew Van Dyne	J.P. Morgan	New York	New York		
31	N	Rachel Solarsh	J.P. Morgan	New York	New York		•
32	40	Evan Steinberg	Morgan Stanley PWM	New York	New York		•
33	34	Jonathan Kuttin	Ameriprise Financial	Hauppauge	New York	•	•
34	23	Leigh Cohen	Merrill Private Wealth Management	New York	New York		•
35	27	Virginia Guy	Neuberger Berman	New York	New York		•
36	N	Jasmine Abouzied	J.P. Morgan	New York	New York		
37	21	Holly Newman Kroft	Neuberger Berman	New York	New York		•
38	25	John Olson	Merrill Lynch Wealth Management	New York	New York		•
39	29	Gerard Klingman	Klingman & Associates Raymond James	New York	New York		•
40	30	Ira Mark	RBC Wealth Management	New York	New York		•
41	33	Thomas Sullivan	Merrill Lynch Wealth Management	Garden City	New York		•
42	N	Andrew Shipley	J.P. Morgan	New York	New York		
43	50	Glenn Degenaars	First Republic Investment Management	New York	New York		•
44	31	Michael Poppo	UBS Financial Services	New York	New York		•
45	88	John Sargent	Morgan Stanley PWM	New York	New York	•	•
46	32	Jonathan Kass	Merrill Lynch Wealth Management	New York	New York	•	•
47	36	Mark Donohue	Morgan Stanley PWM	New York	New York	•	•
48	48	David Magrone	Merrill Private Wealth Management	New York	New York		•
49	39	Daniel Kilmurray	UBS Financial Services	New York	New York	•	•
50	42	Michael Sawyer	Morgan Stanley PWM	New York	New York		
51	41	Andrew Perry	UBS Financial Services	New York	New York		•
52	49	Patrick J. Schwarz	Morgan Stanley PWM	New York	New York		•
53	51	Steven Grill	Alex. Brown Raymond James	New York	New York		•

54	52	Joseph Karas	Morgan Stanley Wealth Management	New York	New York		•
55	53	Bill Grous	Alex. Brown Raymond James	New York	New York		•
56	47	Douglas Braff	UBS Financial Services	New York	New York	•	•
57	37	John Barrett	Merrill Lynch Wealth Management	New York	New York		•
58	71	Neil Mitchell	Morgan Stanley PWM	New York	New York		•
59	44	Peter Svarre	Merrill Lynch Wealth Management	New York	New York		•
60	45	Lewis Altfest	Altfest Personal Wealth Management	New York	New York	•	•
61	N	Scott Siegel	Morgan Stanley Wealth Management	New York	New York	•	•
62	N	Alexandra Fuhrmann	CIBC Private Wealth Management	New York	New York		•
63	66	Bruce Burrows	Morgan Stanley Wealth Management	Garden City	New York	•	•
64	56	Todd Perry	UBS Financial Services	New York	New York		•
65	28	Joshua Malkin	Morgan Stanley PWM	New York	New York		•
66	76	Andrew P. Kraus	Merrill Private Wealth Management	New York	New York	•	•
67	73	Robert McGinty	Merrill Private Wealth Management	New York	New York	•	•
68	N	Shawn Rubin	Morgan Stanley PWM	New York	New York		•
69	70	Elizabeth Weikes	J.P. Morgan Securities	New York	New York		•
70	64	Joseph Lerner	Morgan Stanley PWM	New York	New York		•
71	72	Michael Chrys	Merrill Lynch Wealth Management	Latham	New York	•	•
72	62	Anna Winderbaum	Morgan Stanley PWM	New York	New York		•
73	57	Jordan Waxman	Nucleus Advisors at HighTower	New York	New York		•
74	54	Robert Waldele	Merrill Lynch Wealth Management	New York	New York		•
75	84	James Ryan	Merrill Private Wealth Management	New York	New York		•
76	59	Peter Rukeyser	UBS Financial Services	New York	New York		•
77	85	Courtney Moore	Merrill Private Wealth Management	New York	New York		•
78	67	Rod Aronson	Morgan Stanley PWM	New York	New York		•
79	N	James Buckley	J.P. Morgan	New York	New York		•
80	75	Jason Babb	First Republic Investment Management	New York	New York	•	•
81	63	Alexander Williams	UBS Financial Services	New York	New York		•
82	80	Brian Bennett	UBS Financial Services	New York	New York	•	•
83	69	Alyssa Moeder	Merrill Private Wealth Management	New York	New York		•
84	N	Andrew Sukhin	Morgan Stanley Wealth Management	New York	New York	•	•
85	60	Leo Marzen	Bridgewater Advisors	New York	New York		•
86	58	W. Corby May	Merrill Lynch Wealth Management	Garden City	New York		•
87	78	Adam Epstein	UBS Financial Services	New York	New York		•
88	N	Anthony Dertouzos	Morgan Stanley PWM	New York	New York		•
89	74	Richard Salvino	Merrill Private Wealth Management	New York	New York		•

90	77	Louise Gunderson	UBS Financial Services	New York	New York	•	•
91	N	Rob Meenan	UBS Financial Services	New York	New York		
92	82	Anthony Rundella	UBS Financial Services	New York	New York	•	•
93	N	Justin McCarthy	Mariner Wealth Advisors	New York	New York	•	•
94	N	Shlomi Yedid	UBS Financial Services	New York	New York		
95	92	Lawrence Liebers	Merrill Lynch Wealth Management	New York	New York		•
96	81	William Einstein	UBS Financial Services	New York	New York		•
97	87	Christiane Olsen	UBS Financial Services	New York	New York	•	•
98	61	Doug Mellert	Merrill Lynch Wealth Management	New York	New York	•	•
99	N	John Vessa	Morgan Stanley Wealth Management	New York	New York	•	•
100	65	Todd DiScala	Merrill Lynch Wealth Management	New York	New York	•	•
101	68	Vishal Bakshi	Merrill Private Wealth Management	New York	New York		•
102	N	Emily Rubin	UBS Financial Services	New York	New York		•
103	106	Jeffrey Winik	Morgan Stanley Wealth Management	New York	New York	•	•
104	97	Geri Pell	Ameriprise Financial	Rye Brook	New York	•	•
105	112	Mihir Patel	Morgan Stanley PWM	New York	New York		•
106	N	Jay Canell	J.P. Morgan Securities	New York	New York	•	•
107	N	Neil Canell	J.P. Morgan Securities	New York	New York	•	•
108	100	Marc Fischer	Morgan Stanley Graystone	Rochester	New York		•
109	105	Greg DelMonte	Stifel	New York	New York	•	•
110	95	Todd Silaika	Merrill Lynch Wealth Management	Clifton Park	New York		•
111	91	Peter Arbes	Neuberger Berman	New York	New York		•
112	93	Glenn Arthurs	UBS Financial Services	Buffalo	New York	•	•
113	96	David Beyer	Morgan Stanley Wealth Management	New York	New York	•	•
114	N	Rich Abrams	UBS Financial Services	New York	New York	•	•
115	103	Eric Propper	CIBC Private Wealth Management	New York	New York		•
116	104	Charles Balducci	Merrill Lynch Wealth Management	New York	New York		•
117	108	Joseph Eisler	Morgan Stanley PWM	New York	New York		•
118	N	Michael Taggart	J.P. Morgan Securities	New York	New York		•
119	107	Eric Snyder	Merrill Lynch Wealth Management	New York	New York		•
120	89	Harold Elish	UBS Financial Services	New York	New York	•	•
1	3	William Oliver	Wells Fargo Advisors	Charlotte	North Carolina		•
2	4	John McCardell	Merrill Lynch Wealth Management	Charlotte	North Carolina	•	•
3	6	Brett Hoge	BB&T Scott & Stringfellow	Winston-Salem	North Carolina	•	•
4	20	Adam Landau	Morgan Stanley PWM	Charlotte	North Carolina		•
5	8	Kelly Graves	Carroll Financial	Charlotte	North Carolina	•	•
6	7	Patrick Rush	Triad Financial Advisors	Greensboro	North Carolina	•	•
7	9	Chris Davis	Wells Fargo Advisors	Davidson	North Carolina	•	•

Alex Brown/Raymond

8	10	Lee Bryan III	Alex. Brown Raymond James	Winston-Salem	North Carolina		
9	11	Rick Fisher	Fisher Wealth Management	Burlington	North Carolina	•	•
10	N	George Seamen	Merrill Lynch Wealth Management	Raleigh	North Carolina	•	•
11	19	Mike Absher	Wells Fargo Advisors	Chapel Hill	North Carolina	•	•
12	N	Bob McCarthy	RBC Wealth Management	Raleigh	North Carolina		•
13	N	William Normann	Normann Financial Group Raymond James	Sanford	North Carolina		•
14	14	Gary Gaulden	Ameriprise Financial	Durham	North Carolina		•
15	26	Mark Walker	Ameriprise Financial	Charlotte	North Carolina	•	•
16	18	Kim Hoffman	Edward Jones	Wilson	North Carolina	•	•
17	17	Thorne James	Merrill Lynch Wealth Management	Raleigh	North Carolina	•	•
18	13	Jason Zaks	Alex. Brown Raymond James	Winston-Salem	North Carolina		•
19	15	Pamela Miles	Wells Fargo Advisors	North Wilkesboro	North Carolina	•	•
20	22	John Patterson	Carroll Financial	Charlotte	North Carolina	•	•
21	23	Bill Spry	Alex. Brown Raymond James	Winston-Salem	North Carolina		•
22	24	Lee Morris	Morgan Stanley Graystone	Raleigh	North Carolina		•
23	N	Richard Stikeleather	Merrill Lynch Wealth Management	Charlotte	North Carolina	•	•
24	N	Jim Cantalupo	Merrill Lynch Wealth Management	Charlotte	North Carolina	•	•
25	25	Scott Young	Merrill Lynch Wealth Management	Winston-Salem	North Carolina	•	•
26	27	Keith Norman	Merrill Lynch Wealth Management	Winston-Salem	North Carolina	•	•
27	30	Ian McDade	Merrill Lynch Wealth Management	Charlotte	North Carolina		•
28	N	Garrett Stringer	Wells Fargo Advisors	Raleigh	North Carolina	•	•
29	21	Robert Edmonds	Ameriprise Financial	Greensboro	North Carolina	•	•
30	N	Mike Bell	Edward Jones	Hickory	North Carolina	•	•
1	2	Troy Nelson	Edward Jones	Bismarck	North Dakota	•	•
2	1	Joel Bird	Ameriprise Financial	Bismarck	North Dakota	•	•
3	3	Greg Tschetter	Edward Jones	Minot	North Dakota	•	•
4	4	Ronald Nomeland	Merrill Lynch Wealth Management	Fargo	North Dakota	•	•
5	6	Thomas Reule	Edward Jones Investments	Devils Lake	North Dakota	•	•
6	5	Dave Schlafman	Ameriprise Financial	Bismarck	North Dakota	•	•
1	1	Valerie Newell	Mariner Wealth Advisors	Cincinnati	Ohio	•	•
2	2	David Singer	Merrill Private Wealth Management	Cincinnati	Ohio		•
3	7	Randy Carver	Raymond James	Mentor	Ohio	•	•
4	5	David Ellis	UBS Financial Services	Cincinnati	Ohio	•	•
5	8	Kevin Myeroff	NCA Financial Planners	Cleveland	Ohio	•	•
6	3	Michael Chasnoff	Truepoint Wealth Counsel	Cincinnati	Ohio	•	•
7	9	Peter Motta	Merrill Private Wealth Management	Columbus	Ohio		•
8	13	Stephen Fish	UBS Financial Services	Cincinnati	Ohio	•	•

9	16	Richard "Rip" Hale	Morgan Stanley Wealth Management	Beavercreek	Ohio	•	•
10	11	Paul Carbetta	Ameriprise Financial	Worthington	Ohio	•	•
11	12	Daniel Roe	Budros, Ruhlin & Roe	Columbus	Ohio		•
12	14	Linnell Sullivan	Merrill Lynch Wealth Management	Cincinnati	Ohio		•
13	20	Thomas Robertson	Morgan Stanley Graystone	Columbus	Ohio		•
14	22	Joel Guth	Gryphon Financial Partners	Columbus	Ohio		•
15	10	Brian Edwards	Morgan Stanley Wealth Management	Columbus	Ohio	•	•
16	18	Joseph Chornyak Sr.	Chornyak & Associates	Columbus	Ohio	•	•
17	15	Sidney Jones	Merrill Lynch Wealth Management	Canfield	Ohio	•	•
18	25	Elizabeth Armitage	Merrill Lynch Wealth Management	Cincinnati	Ohio	•	•
19	17	James Barsella	Wells Fargo Advisors	Hudson	Ohio		•
20	28	Jeffery Chaddock	Ameriprise Financial	Gahanna	Ohio	•	•
21	23	Charles Dankworth	UBS Financial Services	New Albany	Ohio		•
22	30	Daniel Torbeck	UBS Financial Services	Cincinnati	Ohio	•	•
23	29	Dean D. Trindle	Morgan Stanley Wealth Management	Cincinnati	Ohio	•	•
24	24	Jeffrey Stewart	Morgan Stanley Wealth Management	Dublin	Ohio		•
25	N	Jon Shane	Merrill Lynch Wealth Management	Pepper Pike	Ohio	•	•
26	27	John Krieger	Merrill Lynch Wealth Management	Pepper Pike	Ohio	•	•
27	N	Audrey Tuckerman	Merrill Private Wealth Management	Columbus	Ohio		•
28	N	Kenneth Wise	UBS Financial Services	Sylvania	Ohio	•	•
29	19	Jennifer Hamant	Morgan Stanley Graystone	Columbus	Ohio		•
30	N	Steven Zangmeister	Raymond James	Medina	Ohio	•	•
1	N	James Johnson	Morgan Stanley PWM	Oklahoma City	Oklahoma		•
2	1	Michael Mazzei	Tulsa Wealth Advisors Raymond James	Tulsa	Oklahoma	•	•
3	2	Steve Jelley	Merrill Lynch Wealth Management	Tulsa	Oklahoma	•	•
4	4	Matthew Monger	Merrill Lynch Wealth Management	Tulsa	Oklahoma	•	•
5	3	Jeffrey Blumenthal	Wells Fargo Advisors	Oklahoma City	Oklahoma	•	•
6	5	Jana Shoulders	Mariner Wealth Advisors	Tulsa	Oklahoma	•	•
7	6	Donald Jackson	UBS Financial Services	Tulsa	Oklahoma	•	•
8	9	John Cary	Morgan Stanley Wealth Management	Tulsa	Oklahoma	•	•
9	7	Matt R. Cain	Merrill Lynch Wealth Management	Tulsa	Oklahoma	•	•
10	10	William Andrew	Edward Jones	Enid	Oklahoma	•	•
11	8	Donald Lehman	Merrill Lynch Wealth Management	Tulsa	Oklahoma	•	•
		T. Paul Esleton Brown					

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12	11	T. Paul Eagleton	Eagleton Brown Investment Group	Tulsa	Oklahoma	•	•
1	1	Judith McGee	Raymond James	Portland	Oregon	•	•
2	2	Rebecca DeCesaro	First Republic Investment Management	Portland	Oregon		•
3	3	William Kiefel	Merrill Lynch Wealth Management	Portland	Oregon		•
4	5	Glen Clemans	CGC Financial Services	Lake Oswego	Oregon	•	•
5	6	Keith Sheppard	UBS Financial Services	Portland	Oregon	•	•
6	8	Jeffrey Yandle	First Republic Investment Management	Portland	Oregon		•
7	9	James Wrenn	UBS Financial Services	Lake Oswego	Oregon	•	•
8	4	Cameron Cheek	UBS Financial Services	Portland	Oregon	•	•
9	7	Josh Ulmer	Morgan Stanley Wealth Management	Portland	Oregon		•
10	N	Edward Lang	UBS Financial Services	Portland	Oregon	•	•
11	12	Robert Coussens	UBS Financial Services	Portland	Oregon		•
12	11	James Beatty	UBS Financial Services	Portland	Oregon		•
1	3	Grant Rawdin	Wescott Financial Advisory Group	Philadelphia	Pennsylvania	•	•
2	1	Peter Rohr	Merrill Private Wealth Management	Philadelphia	Pennsylvania		•
3	N	Rob Thomas	Mariner Wealth Advisors	State College	Pennsylvania	•	•
4	N	Robert Fragasso	Fragasso Financial Advisors	Pittsburgh	Pennsylvania	•	•
5	5	Jim Martin	Morgan Stanley PWM	West Conshohocken	Pennsylvania		•
6	6	Patti Brennan	Key Financial	West Chester	Pennsylvania	•	•
7	7	James Rimmel	UBS Financial Services	Pittsburgh	Pennsylvania	•	•
8	9	John J. Parker Sr.	Wells Fargo Advisors	Philadelphia	Pennsylvania	•	•
9	10	James Meredith	Hefren-Tillotson	Pittsburgh	Pennsylvania	•	•
10	11	Jay Beaulieu	Wells Fargo Advisors	Radnor	Pennsylvania		•
11	18	Greg Sarian	Sarian Strategic Partners at HighTower	Wayne	Pennsylvania		•
12	21	Mark Eskin	Janney Montgomery Scott	Philadelphia	Pennsylvania	•	•
13	14	Alan Cohn	Sage Financial Group	Conshohocken	Pennsylvania	•	•
14	13	Peter Strobe	UBS Financial Services	Canonsburg	Pennsylvania	•	•
15	22	Edward Blumenthal	Janney Montgomery Scott	Philadelphia	Pennsylvania	•	•
16	16	David Eisen	UBS Financial Services	Philadelphia	Pennsylvania		•
17	N	Jack Hafner	J.P. Morgan Securities	Philadelphia	Pennsylvania		•
18	25	Michael Duckworth	Merrill Private Wealth Management	Pittsburgh	Pennsylvania		•
19	17	Thomas Seiler	Raymond James	Newtown	Pennsylvania		•
20	23	Charles Sessa	UBS Financial Services	Philadelphia	Pennsylvania		•
21	19	John Solis-Cohen	Wells Fargo Advisors	Jenkintown	Pennsylvania	•	•
22	27	Malcolm Cowen	Cornerstone Advisors Asset Management	Bethlehem	Pennsylvania		•
23	34	David Kron	Kron & Polis Financial Group of Wells Fargo Advisors	Philadelphia	Pennsylvania	•	•
24	29	George Mastrogiorgos	Ameriprise Financial	Exton	Pennsylvania	•	•

25	24	Lon Jury	Ameriprise Financial	Lancaster	Pennsylvania	•	•
26	8	Anthony DiValerio	Morgan Stanley PWM	West Conshohocken	Pennsylvania		•
27	N	Dane Burkholder	Ameriprise Financial	Lancaster	Pennsylvania	•	•
28	20	Sean Kelly	Morgan Stanley PWM	West Conshohocken	Pennsylvania		
29	35	Stephen Bealer	Merrill Lynch Wealth Management	Wyomissing	Pennsylvania		•
30	31	Michael Mattise	Radnor Financial Advisors	Wayne	Pennsylvania		•
31	33	Michael Hirthler	Jacobi Capital Management	Wilkes Barre	Pennsylvania	•	•
32	39	Robb Boland	RBC Wealth Management	Philadelphia	Pennsylvania	•	•
33	32	Samuel Spanos	Raymond James	Beaver	Pennsylvania	•	•
34	36	Craig Schwartz	Wells Fargo Advisors	Philadelphia	Pennsylvania	•	•
35	N	Andrew Jugan	Ameriprise Financial	Pittsburgh	Pennsylvania	•	•
36	N	David Ebner	Merrill Lynch Wealth Management	Philadelphia	Pennsylvania	•	•
37	40	Peter Sargent	Janney Montgomery Scott	Yardley	Pennsylvania	•	•
38	30	Gregory Hayes	Merrill Lynch Wealth Management	Yardley	Pennsylvania	•	•
39	28	Joel Freedman	Morgan Stanley Wealth Management	West Conshohocken	Pennsylvania	•	•
40	26	Richard Corcoran	Morgan Stanley PWM	West Conshohocken	Pennsylvania		
41	N	Michael Henley	Brandywine Oak Private Wealth	Kennett Square	Pennsylvania		•
42	N	Michael Glocas	Morgan Stanley Wealth Management	Easton	Pennsylvania	•	•
43	43	Bradford Bernstein	UBS Financial Services	Philadelphia	Pennsylvania	•	•
44	38	Al Boris	Alex. Brown Raymond James	Philadelphia	Pennsylvania	•	•
45	45	William Brown	Merrill Lynch Wealth Management	Sewickley	Pennsylvania	•	•
46	37	Kurt Carlson	Hefren-Tillotson	Wexford	Pennsylvania	•	•
47	41	Barbara Hudock	Hudock Capital Group	Williamsport	Pennsylvania	•	•
48	N	J Douglas Moran	Merrill Lynch Wealth Management	Wayne	Pennsylvania	•	•
1	1	Malcolm Makin	Raymond James	Westerly	Rhode Island	•	•
2	5	John Zitzmann	Morgan Stanley Wealth Management	Providence	Rhode Island	•	•
3	N	David Reiser	J.P. Morgan Securities	Providence	Rhode Island	•	•
4	4	Joseph Hassett	Janney Montgomery Scott	Providence	Rhode Island	•	•
5	3	Denise Roberts	Morgan Stanley Wealth Management	Newport	Rhode Island	•	•
6	2	Edythe De Marco	Merrill Lynch Wealth Management	Providence	Rhode Island	•	•
1	4	Bud Kibler	Merrill Private Wealth Management	Columbia	South Carolina		•
2	3	Robert Vingi	Wells Fargo Advisors	Charleston	South Carolina	•	•
3	2	Rick Migliore	Merrill Lynch Wealth Management	Columbia	South Carolina	•	•
4	1	Cheryl Holland	Abacus Planning Group	Columbia	South Carolina		•

5	5	Ronnie Dennis	Merrill Lynch Wealth Management	Columbia	South Carolina		
6	6	W. Clark Gullivan	UBS Financial Services	Greenville	South Carolina	•	•
7	9	Bert Arrowood	UBS Financial Services	Greenville	South Carolina	•	•
8	11	Michael Velasco	Merrill Lynch Wealth Management	Columbia	South Carolina	•	•
9	N	Paul Vannatta	Merrill Lynch Wealth Management	Charleston	South Carolina	•	•
10	12	Josh Brown	Merrill Lynch Wealth Management	Greenville	South Carolina	•	•
11	N	Ben Clauss	Merrill Lynch Wealth Management	Greenville	South Carolina	•	•
12	N	Preston Covington	Raymond James	Columbia	South Carolina	•	•
1	2	Todd Nelson	Merrill Lynch Wealth Management	Sioux Falls	South Dakota	•	•
2	1	David Hillard	RBC Wealth Management	Rapid City	South Dakota	•	•
3	5	Nathan Quello	Loft Advisors Raymond James	Sioux Falls	South Dakota	•	•
4	4	David R. Olson	Merrill Lynch Wealth Management	Sioux Falls	South Dakota	•	•
5	3	Gordon Wollman	Cornerstone Financial Solutions Raymond James	Sioux Falls	South Dakota	•	•
6	6	William Lindquist	Merrill Lynch Wealth Management	Sioux Falls	South Dakota	•	•
1	N	Timothy Pagliara	CapWealth Advisors	Franklin	Tennessee	•	•
2	1	Tim Roberson	Merrill Lynch Wealth Management	Brentwood	Tennessee	•	•
3	3	Trey Smith	SunTrust Investment Services	Nashville	Tennessee	•	•
4	N	Charles Kirby	UBS Financial Services	Nashville	Tennessee		
5	4	Jay Williams	UBS Financial Services	Memphis	Tennessee		
6	5	Jeffrey Bates	Kings Point Capital Management	Brentwood	Tennessee	•	•
7	8	Malcolm Liles	Baird	Nashville	Tennessee	•	•
8	2	Eric Klindt	Merrill Private Wealth Management	Nashville	Tennessee		
9	11	Christi Edwards	Morgan Stanley Wealth Management	Nashville	Tennessee	•	•
10	7	Matthew Miller	Merrill Private Wealth Management	Brentwood	Tennessee		
11	6	Stanton Jacobs	UBS Financial Services	Oak Ridge	Tennessee	•	•
12	16	David Hepner	Merrill Lynch Wealth Management	Memphis	Tennessee	•	•
13	15	Elias Hassan	Baird	Murfreesboro	Tennessee		
14	9	Brent Trentham	Ameriprise Financial	Alcoa	Tennessee	•	•
15	N	Thomas Williams	Merrill Lynch Wealth Management	Memphis	Tennessee	•	•
16	10	Jeff Dobyms	Southwestern Investment Group Raymond James	Franklin	Tennessee	•	•
17	N	Sam Oakley	Oakley Group at Pinnacle Asset Management Raymond James	Nashville	Tennessee	•	•
18	18	Jason Pharris	Morgan Stanley Wealth Management	Nashville	Tennessee	•	•
1	1	Richard Szelc	Neuberger Berman	Dallas	Texas		•
		Richard	Tollson Wealth	..			

2	2	Michael Joyner	Raymond James Wealth Management	Dallas	Texas		
3	N	Daffan Nettle	J.P. Morgan	Dallas	Texas		
4	N	William Corbellini	Merrill Private Wealth Management	Dallas	Texas		
5	4	Tommy McBride	Merrill Lynch Wealth Management	Dallas	Texas		
6	6	Scott Tiras	Ameriprise Financial	Houston	Texas		
7	10	Van Percy	Van Percy's Wealth Services Team Raymond James	Midland	Texas		
8	7	Darrell Pennington	Ameriprise Financial	Houston	Texas		
9	8	Kevin Blonkvist	RBC Wealth Management	Midland	Texas		
10	12	Mark Moore	UBS Financial Services	Austin	Texas		
11	N	Craig Story	J.P. Morgan	Dallas	Texas		
12	16	Rob Bertino	UBS Financial Services	Dallas	Texas		
13	14	Nestor Vicknair	Merrill Lynch Wealth Management	Houston	Texas		
14	20	Charla McIntyre Fields	Ameriprise Financial	Hurst	Texas		
15	13	Jennifer Marcontell	Edward Jones	Baytown	Texas		
16	22	Bonner Barnes	Concord Investment Management	Houston	Texas		
17	17	William Leven	Merrill Private Wealth Management	Houston	Texas		
18	25	Jeffery Price	Merrill Lynch Wealth Management	Southlake	Texas		
19	19	Stephen Engro	Beacon Financial Group	Dallas	Texas		
20	9	Charles McKinney	Morgan Stanley PWM	Dallas	Texas		
21	26	Langston Turner	UBS Financial Services	Houston	Texas		
22	23	Lewis Metzger	Morgan Stanley Wealth Management	Houston	Texas		
23	15	Champ Warren	Merrill Private Wealth Management	Houston	Texas		
24	28	John Merrill	Tanglewood Total Wealth Management	Houston	Texas		
25	18	Reed Smith	Merrill Private Wealth Management	Houston	Texas		
26	33	Frederick de Groot	Merrill Lynch Wealth Management	The Woodlands	Texas		
27	34	Brian Tramontano	Merrill Lynch Wealth Management	San Antonio	Texas		
28	31	James Coffee	Merrill Lynch Wealth Management	Houston	Texas		
29	50	Brent Anderson	Morgan Stanley PWM	Houston	Texas		
30	45	Kalita Blessing	Quest Capital Management Raymond James	Dallas	Texas		
31	42	R. Scot Smith	Morgan Stanley Wealth Management	Dallas	Texas		
32	29	Steve Nagel	Merrill Lynch Wealth Management	Frisco	Texas		
33	43	Susan Heuer	Merrill Lynch Wealth Management	Houston	Texas		
34	24	Howard Lorch	Wells Fargo Advisors	Houston	Texas		
35	N	Brent Chappell	Merrill Lynch Wealth Management	The Woodlands	Texas		

36	32	William Gouldin	Morgan Stanley PWM	Houston	Texas		
37	27	Richard Ashcroft	Baird	Houston	Texas		
38	30	D. Scott Uptegraph Jr.	Morgan Stanley Wealth Management	Houston	Texas	•	•
39	35	Ira Kravitz	UBS Financial Services	Plano	Texas	•	•
40	40	Charles Lewis	Wells Fargo Advisors	Waco	Texas	•	•
41	65	David Novelli	Morgan Stanley PWM	Houston	Texas	•	•
42	21	Richard Piatas	Merrill Lynch Wealth Management	Frisco	Texas	•	•
43	44	R. Craig Rathjen	UBS Financial Services	Houston	Texas		
44	39	Anupam Johri	Morgan Stanley Wealth Management	Dallas	Texas	•	•
45	67	Kerry Knox	Merrill Lynch Wealth Management	Fort Worth	Texas	•	•
46	N	Kendall Cotten	Merrill Private Wealth Management	Dallas	Texas		•
47	N	William Sammons	Morgan Stanley Graystone	San Antonio	Texas		•
48	38	Mark Gladstein	Merrill Lynch Wealth Management	Houston	Texas		•
49	37	Carl Fuhrmann III	Merrill Lynch Wealth Management	San Antonio	Texas	•	•
50	47	Raymond Tucker	Wells Fargo Advisors	Texarkana	Texas	•	•
51	N	Bradley Chappell	Merrill Lynch Wealth Management	The Woodlands	Texas	•	•
52	36	Audree Begay	Ameriprise Financial	Houston	Texas	•	•
53	57	Gil Baumgarten	Segment Wealth Management	Houston	Texas		•
54	41	Kevin Dickey	Morgan Stanley PWM	Dallas	Texas		•
55	59	Elizabeth Lockwood	UBS Financial Services	Houston	Texas		•
56	55	Bradley Bruce	Merrill Lynch Wealth Management	Fort Worth	Texas		•
57	69	Ryan Long	UBS Financial Services	Dallas	Texas	•	•
58	48	Gregory Glosser	RBC Wealth Management	Dallas	Texas	•	•
59	N	Brian Sauer	UBS Financial Services	Houston	Texas		•
60	60	Marie A. Moore	Morgan Stanley Wealth Management	Dallas	Texas	•	•
61	49	Darren Fenz	Merrill Lynch Wealth Management	Houston	Texas	•	•
62	53	J. Michael Fox	Merrill Lynch Wealth Management	Houston	Texas	•	•
63	75	Steven Herzog	RBC Wealth Management	Houston	Texas	•	•
64	58	Ralph Schroeder	Ameriprise Financial	The Woodlands	Texas	•	•
65	61	Charles Johansen	Merrill Lynch Wealth Management	The Woodlands	Texas	•	•
66	64	J. Dow Crocker	Raymond James	Dallas	Texas	•	•
67	70	Brian Petrauskas	Merrill Lynch Wealth Management	The Woodlands	Texas	•	•
68	66	Charles Neuhaus	Wells Fargo Advisors	Houston	Texas		•
69	51	Alan Yonack	Morgan Stanley Wealth Management	Dallas	Texas	•	•
70	68	Craig Massey	Merrill Private Wealth Management	Houston	Texas		•

2020 Top 1200 U. S. Financial Advisors Ranked by State

71	N	Dan micnet	UBS Financial Services	Midland	Texas	•	•
72	72	Greg McDonald	UBS Financial Services	Midland	Texas	•	•
73	56	Mark Elias	UBS Financial Services	Houston	Texas		•
74	74	Joni Abalos	Merrill Lynch Wealth Management	Houston	Texas	•	•
75	62	Alvaro Gonzalez	Merrill Lynch Wealth Management	McAllen	Texas		•
76	71	Alexander Ladage	UBS Financial Services	Austin	Texas	•	•
77	77	Dan Nelson	Merrill Private Wealth Management	San Antonio	Texas		•
78	76	F. Michael Wood Jr.	Merrill Private Wealth Management	San Antonio	Texas		•
79	78	Gail Utter	Wells Fargo Advisors	Sherman	Texas	•	•
80	N	Sean Fitzpatrick	Raymond James	Houston	Texas	•	•
81	80	Michael Hesse	Texas Wealth Management	Houston	Texas	•	•
82	85	Donald Peterson	UBS Financial Services	Dallas	Texas		•
83	87	Matthew Kardesch	Ameriprise Financial	Houston	Texas	•	•
84	83	Jay Gerrie	Merrill Lynch Wealth Management	Austin	Texas	•	•
85	N	Robert Bives	Ameriprise Financial	Austin	Texas	•	•
86	N	Scott Fortney	Morgan Stanley PWM	Houston	Texas		•
87	54	Nelson Murray	Morgan Stanley Wealth Management	Houston	Texas		•
88	90	Kenny French	Edward Jones	Victoria	Texas	•	•
89	84	Robert McMeen	Parkway Wealth Management Group Raymond James	Plano	Texas	•	•
90	N	Grant Fortney	Morgan Stanley PWM	Houston	Texas		•
1	1	Dane Runia	Merrill Private Wealth Management	Provo	Utah		•
2	3	John Van Wagoner	Merrill Lynch Wealth Management	Salt Lake City	Utah		•
3	2	Glen Mintz	UBS Financial Services	Park City	Utah	•	•
4	5	Greg Golding	UBS Financial Services	Park City	Utah	•	•
5	4	Carl L. Laurella	Merrill Lynch Wealth Management	Salt Lake City	Utah		•
6	N	Jeffry Roundy	Merrill Lynch Wealth Management	Salt Lake City	Utah		•
1	2	Tim Stotz	Morgan Stanley Wealth Management	Colchester	Vermont		•
2	1	Brian Pelkey	Morgan Stanley Wealth Management	Colchester	Vermont	•	•
3	3	Timothy O'Brien	UBS Financial Services	South Burlington	Vermont	•	•
4	4	Jeff Dannies	Morgan Stanley Wealth Management	Burlington	Vermont	•	•
5	5	Donald W. Tynion II	UBS Financial Services	South Burlington	Vermont	•	•
6	6	C.J Charlebois Melendy	Ameriprise Financial	Williston	Vermont	•	•
1	1	Stephan Cassaday	Cassaday & Company	McLean	Virginia	•	•
2	3	Joseph Montgomery	Optimal Service Group of Wells Fargo Advisors	Williamsburg	Virginia	•	•
3	4	Jeffrey Grinspoon	VWG Wealth Management at HighTower	Vienna	Virginia		•
4	N	Dalal Salomon	Salomon & Ludwin	Richmond	Virginia		•

5	5	Tristan Caudron	Caudron Megary Blackburn WM of Wells Fargo Advisors	Alexandria	Virginia	•	•
6	6	Barry Glassman	Glassman Wealth Services	Vienna	Virginia	•	•
7	8	Ryan Sprowls	Alexandria Wealth Management of Wells Fargo Advisors	Alexandria	Virginia	•	•
8	7	Lowell Pratt	Burney Company	Reston	Virginia	•	•
9	9	Gregory Smith	Baird	Reston	Virginia	•	•
10	13	Allan Strange	Janney Montgomery Scott	Richmond	Virginia	•	•
11	11	Susan Kim	Ameriprise Financial	Vienna	Virginia	•	•
12	15	Garret Alcaraz	Wells Fargo Advisors	Virginia Beach	Virginia	•	•
13	16	Michael Joyce	Agili	Richmond	Virginia	•	•
14	12	Scott George	Mason Investment Advisory Services	Reston	Virginia	•	•
15	14	John Gill	BB&T Scott & Stringfellow	Virginia Beach	Virginia	•	•
16	19	James Barnette	Raymond James	Reston	Virginia	•	•
17	17	Brett Hayes	Hayes Nystrom Sarrett Wealth Management Group	Richmond	Virginia	•	•
18	18	Simon Hamilton	Baird	Reston	Virginia	•	•
19	25	Paul Mazziotti	Wells Fargo Advisors	McLean	Virginia	•	•
20	10	Jamie Cox	Harris Financial Group	Richmond	Virginia	•	•
21	23	Brude Stoever	Wells Fargo Advisors	Richmond	Virginia	•	•
22	21	Neil Goetzman	Merrill Lynch Wealth Management	Alexandria	Virginia	•	•
23	20	Robert Parr	Morgan Stanley PWM	McLean	Virginia	•	•
24	28	Jeff LeClair	Wells Fargo Advisors	McLean	Virginia	•	•
25	29	Hanane Lemlih	Wells Fargo Advisors	McLean	Virginia	•	•
26	24	Rob Reich	Wells Fargo Advisors	McLean	Virginia	•	•
27	N	Aashish Matani	Merrill Lynch Wealth Management	Norfolk	Virginia	•	•
28	N	Stephen Park	UBS Financial Services	Vienna	Virginia	•	•
29	N	G. Carl Mahler Jr.	Pinnacle Group Raymond James	Midlothian	Virginia	•	•
30	N	Fritz Berry	SunTrust Investment Services	Charlottesville	Virginia	•	•
1	1	Erik Morgan	Freestone Capital Management	Seattle	Washington	•	•
2	43 Calif.	Bruce Munster	Merrill Private Wealth Management	Bellevue	Washington	•	•
3	15	Erin Scannell	Ameriprise Financial	Mercer Island	Washington	•	•
4	2	Phil Scott	Merrill Lynch Wealth Management	Bellevue	Washington	•	•
5	3	Randall Linde	Ameriprise Financial	Renton	Washington	•	•
6	4	Cameron Ragen	Baird	Seattle	Washington	•	•
7	8	Michael Matthews	UBS Financial Services	Bellevue	Washington	•	•
8	7	Dean Braun	Morgan Stanley PWM	Seattle	Washington	•	•
9	6	Jon Jones	Brighton Jones	Seattle	Washington	•	•
10	5	Charles Brighton	Brighton Jones	Seattle	Washington	•	•
11	11	Stephen Hollomon	Merrill Lynch Wealth Management	Seattle	Washington	•	•

12	10	Paul Ried	Paul R. Ried Financial Group	Bellevue	Washington	•	•
13	17	Kevin Fitzwilson	Coldstream Wealth Management	Bellevue	Washington		•
14	13	Wayne Hampson	Merrill Lynch Wealth Management	Seattle	Washington		•
15	14	Lawrence Hood	Pacific Portfolio Consulting	Seattle	Washington	•	•
16	12	Lowry West	Morgan Stanley PWM	Seattle	Washington		•
17	25	Brian Acarregui	Morgan Stanley Wealth Management	Seattle	Washington	•	•
18	20	Michael Hershey	J.P. Morgan Securities	Bellevue	Washington	•	•
19	16	Jay Kirkpatrick	Merrill Private Wealth Management	Spokane	Washington		•
20	24	Matt Riesenweber	Cornerstone Wealth Strategies	Kennewick	Washington	•	•
21	26	Mike Geri	RBC Wealth Management	Seattle	Washington	•	•
22	21	Jeffrey Crosby	Ameriprise Financial	Kirkland	Washington	•	•
23	N	Michael Maroni	Merrill Lynch Wealth Management	Seattle	Washington	•	•
24	23	Joe Cervantes	Coldstream Wealth Management	Bellevue	Washington	•	•
25	22	Paul Jackson	Morgan Stanley Wealth Management	Bellevue	Washington	•	•
26	N	Ira Hershkowitz	Merrill Lynch Wealth Management	Seattle	Washington	•	•
27	27	Fazil Daver Tiryakioglu	Merrill Lynch Wealth Management	Bellevue	Washington	•	•
28	N	Corina Davis	Merrill Lynch Wealth Management	Seattle	Washington	•	•
29	30	Jason Weese	Merrill Private Wealth Management	Bellevue	Washington		•
30	28	Robert Mellon	Freestone Capital Management	Seattle	Washington		•
1	2	Christopher Hall	Hall Financial Advisors	Parkersburg	West Virginia	•	•
2	1	David Miller	RBC Wealth Management	Huntington	West Virginia	•	•
3	3	Edward Stike	RBC Wealth Management	Charleston	West Virginia	•	•
4	N	John Dawson	Morgan Stanley Graystone	Charleston	West Virginia	•	•
5	N	James Michael Goodwin	Morgan Stanley Graystone	Charleston	West Virginia	•	•
6	4	Tom Kliethermes	Edward Jones	Fairmont	West Virginia	•	•
1	1	Michael Klein	Baird	Milwaukee	Wisconsin	•	•
2	2	Andrew Burish	UBS Financial Services	Madison	Wisconsin	•	•
3	7	Bryan Sadoff	Sadoff Investment Management	Milwaukee	Wisconsin	•	•
4	5	Barry Martzahl	Morgan Stanley Graystone	Green Bay	Wisconsin	•	•
5	6	Michael Sadoff	Sadoff Investment Management	Milwaukee	Wisconsin	•	•
6	4	Mark Orgel	Orgel Wealth Management	Altoona	Wisconsin	•	•
7	8	Jeffrey Kowal	Kowal Investment Group Raymond James	Waukesha	Wisconsin	•	•
8	3	Thomas Parks	Morgan Stanley Graystone	Milwaukee	Wisconsin		
9	9	Philip Dallman	Baird	Milwaukee	Wisconsin	•	•

10	12	Walter Meanwell	Wells Fargo Advisors	Madison	Wisconsin	•	•
11	11	Vincenzo Cimino	Wealth Enhancement Group	Clinton	Wisconsin	•	•
12	10	David Epstein	Baird	Milwaukee	Wisconsin	•	•
13	18	Michael Smith	Ameriprise Financial	Stevens Point	Wisconsin	•	•
14	15	John Larsen	RBC Wealth Management	Mequon	Wisconsin	•	•
15	N	Anthony Consiglio	Baird	Waukesha	Wisconsin	•	•
16	N	Chris Doughty	Gentian Financial Raymond James	Mequon	Wisconsin	•	•
17	17	Chris Calvelli	Baird	Milwaukee	Wisconsin	•	•
18	N	Matthew Heckmann	Northwestern Mutual Wealth Management	Middleton	Wisconsin	•	•
1	1	Kevin Murphy	Stifel	Casper	Wyoming	•	•
2	2	Jeffrey Vincent	Vincent Financial Services	Riverton	Wyoming	•	•
3	3	Ryan Scott	Edward Jones	Rock Springs	Wyoming	•	•
4	4	Christopher Van Slyke	WorthPointe	Jackson	Wyoming	•	•
5	N	Randy Halfpop	Compass Wealth Group Raymond James	Cheyenne	Wyoming	•	•
6	5	Daniel Stevens	Edward Jones	Cody	Wyoming	•	•

The end.

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